

Studies on the molecular regulation of nutrient supplementation in carnivorous *Nepenthes*

Dissertation

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Summary

All organisms on earth are forced by environmental challenges for millions of years to either adapt, or escape from inhospitable habitats. Being sessile organisms, adaptation is the only alternative for plants. High plasticity in plant metabolism, biochemical and signaling pathways, and morphological variations promote the performance of plants under stressful environmental conditions. Plants also successfully co-exist with other organisms such as microbes or insects. As an adaptation mechanism for nutrient limited habitats and soil, carnivory has developed from plant-insect interactions. Most terrestrial carnivorous plants such as *Nepenthes*, *Dionaea* and *Drosera* use diverse trapping organs; however they all specialize in insect prey digestion to have access to prey-derived nutrients. Carnivorous plant of the genus *Nepenthes* with over 120 species uses so-called pitchers, which are metamorphosed leaf organs with digestive fluid inside. Identified protein compositions of the digestive fluid are categorized in the families of pathogenesis related (PR) proteins. Only few species of *Nepenthes* developed mutualistic relationships with mammals for nitrogen supplementation allowing further coprophagous specialization. Here, we show the following results: Insect prey as well as chitin is able to first induce jasmonate phytohormones, which in turn can induce genes of digestive enzymes such as a chitinase and a protease, nepenthesin. For the latter, prey- and chitin-induced activity was also detected in the pitcher fluid. Moreover, external jasmonic acid application was sufficient to induce proteolytic activity of the fluid, indicating the important role of jasmonate signaling in prey-digestion related gene expression. Putative *cis*-acting regulatory elements are observed on the promoter regions of selected PR genes of the digestive fluid proteins. Some of those potential promoter elements are known to be involved in plant defense regulations. Apart from prey-derived nutrient acquisition, *Nepenthes* was also capable to metabolize ¹⁵N-enriched urea and following which the nitrogen is distributed within the

plant. Exactly this ability of the plant, which is facilitated by the enzyme urease, allowed further specialization, namely coprophagy. In such case, the plants benefit from animal defecation. Urease genes from coprophagous and carnivorous *Nepenthes* species were cloned and functionally expressed. A comprehensive phylogenetic analysis for eukaryotic ureases, including *Nepenthes* and five other carnivorous plants' taxa, identified them as canonical ureases and reflects the plant phylogeny. Overall, the results of my thesis demonstrate the ability of *Nepenthes* plants to shape existing pathways in favor of carnivory or even coprophagy. It, furthermore, confirms the hypothesis that carnivory has evolved from plant defense strategies and that this lifestyle seems to have evolved convergently in the different taxa of carnivorous plants.

Zusammenfassung

Alle Organismen auf der Erde sind seit Millionen von Jahren durch ihre direkte Umwelt gezwungen, sich entweder an unvorteilhafte Lebensräume zu adaptieren oder sie zu verlassen. Pflanzen als sessile Organismen haben keine andere Wahl als sich zu anpassen. Der Erfolg bei der Adaptation von Pflanzen wird dadurch gefördert, dass sie eine hohe metabolische, biochemische und auch das Signalisieren betreffende Plastizität besitzen. Die Pflanzen sind nicht nur in der Lage zu adaptieren, sondern auch mit anderen Organismen wie zum Beispiel Mikroben oder Insekten zusammen zu leben. Als Anpassung an nährstoffarme Umweltbedingungen und Böden ist eine spezielle Variante der Pflanzen-Insekten Interaktion entstanden, die pflanzliche Karnivore. Die terrestrischen fleischfressenden Pflanzen wie zum Beispiel *Nepenthes*, *Dionaea* und *Drosera* besitzen unterschiedliche Fangmechanismen, die durch verschiedenste Fallentypen realisiert werden. Alle diese Pflanzen sind darauf spezialisiert, Insekten zu fangen und zu verdauen und profitieren von den so gewonnenen Nährstoffen. Die mit über 120 fleischfressenden Arten große Gattung *Nepenthes*, besitzt als Falle sogenannte Kannen, die durch Metamorphose des Blattes entstanden sind und mit Verdauungsflüssigkeit gefüllt sind. Die identifizierten Proteine, die in der Kannenflüssigkeit vorkommen, können den Familien der „*pathogenesis related*“ (PR) Proteine zugeordnet werden. Nur wenige Arten von *Nepenthes* haben ein mutualistisches Zusammenleben mit Säugetieren entwickelt, wo sie die Ausscheidungen der Tiere als Nährstoffquelle nutzen. Die folgenden Ergebnisse werden in der vorliegenden Arbeit demonstriert. Die Fütterung der Kannen, sowohl mit Insekten als auch mit Chitin, induzierten die endogenen Phytohormon Konzentrationen und die Gene, welche die für die Verdauung verantwortlichen hydrolytische Enzyme kodieren, wie zum Beispiel eine Chitinase und die Protease Nepenthesin. Es wurde weiter gezeigt, dass die hydrolytische Aktivität der Kannenflüssigkeit durch Blattmaterial, Insekten und auch reines Chitin induziert werden kann. Außerdem konnte allein die externe Zugabe von Jasmonsäure die

hydrolytische Aktivität der Flüssigkeit erhöhen. Dies bedeutet, dass Jasmonsäure-abhängige Signalweg eine Rolle bei der Etablierung von hydrolytischen Aktivitäten in *Nepenthes* spielt. In den Promotor-Regionen von PR Genen in *Nepenthes* können *cis*-aktivierbare, regulatorische Promotorelementen vorhergesagt werden, die in der Pflanzenabwehr eine Rolle spielen. Über die Insekten-verdauenden Fähigkeiten hinaus haben die Kannenpflanzen gezeigt, dass exogener, ^{15}N -angereicherter Harnstoff von der Pflanze metabolisiert und der freigesetzte Stickstoff in der Pflanze verteilt werden kann. Diese Fähigkeit, basierend auf der Aktivität einer Urease, hat dazu beigetragen, dass die Kannenpflanzen koprophage Eigenschaften entwickelt haben; sie können direkt von den Ausscheidungen der Tiere profitieren. Urease Gene aus zwei *Nepenthes* Arten, eine karnivore und eine koprophage, wurden kloniert und heterolog exprimiert. Ein umfangreicher phylogenetischer Stammbaum für Urease von eukaryotischen Organismen (Pflanzen, Pilze), der erstmals Ureasen aus sieben verschiedenen fleischfressenden Pflanzen enthält wurde des Weiteren erstellt. Die Analyse hat für die Ureasen der karnivoren Pflanzen kanonische Eigenschaften demonstriert. Die Ergebnissen der Arbeit haben gezeigt, dass *Nepenthes* Pflanzen in der Lage sind, vorher schon existierende Signalwege zugunsten der Karnivore, sogar für koprophage Eigenschaften zu nutzen. Außerdem kann die Hypothese bestätigt werden, dass die Karnivore aus der pflanzlichen Abwehr hervorgeht und in konvergenten Entwicklungen in verschiedenen Taxa der karnivoren Pflanzen entstanden ist.

1. General introduction

1.1 Carnivorous lifestyle in plants

Plants are unable to escape from unfavorable environmental habitats. Instead they have evolved strategies to adapt to harsh conditions, fend off pathogens, outgrow competitors, and reach sources of nutrients (Wolters and Jürgens, 2009). As an adaptation mechanism to survive the limited nutrient acquisition in natural inhospitable habitats, some plants developed a carnivorous lifestyle (Juniper *et al.*, 1989).

Since the time of Charles Darwin, carnivorous plants have attracted scientific interest for their amazing performance on insect prey digestion-based living. Darwin published a book about carnivorous (insectivorous) plants (Darwin, 1875) describing pioneer studies on this interesting topic, which encouraged scientists up to now to study the molecular mechanisms of carnivorous plants; however, nowadays by applying modern technologies.

Carnivorous plants are able to supplement their nutrient demand with their unique features of prey capture and digestion. They catch arthropod prey, mostly insects, with the help of modified leaves, which are fundamental to fulfil extraordinary features associated with plant carnivory (Mithöfer, 2011). Carnivorous plants have five basic trapping mechanisms: pitfall trap, adhesive trap, snap trap, corkscrew trap, and suction trap (Juniper *et al.*, 1989). Trapping-organ based prey capturing strategies vary in different species, actually even between genera (Juniper *et al.*, 1989). Characteristics of the trapping organs are unique in carnivorous plants according to the belonging of more than 580 species to five orders and 20 genera (Givnish, 2015). Basically, hydrolytic enzymes secreted from special glands perform prey digestion and hence supply the plants with prey-derived nutrients; mainly nitrogen and phosphorus (For detail see 1.2).

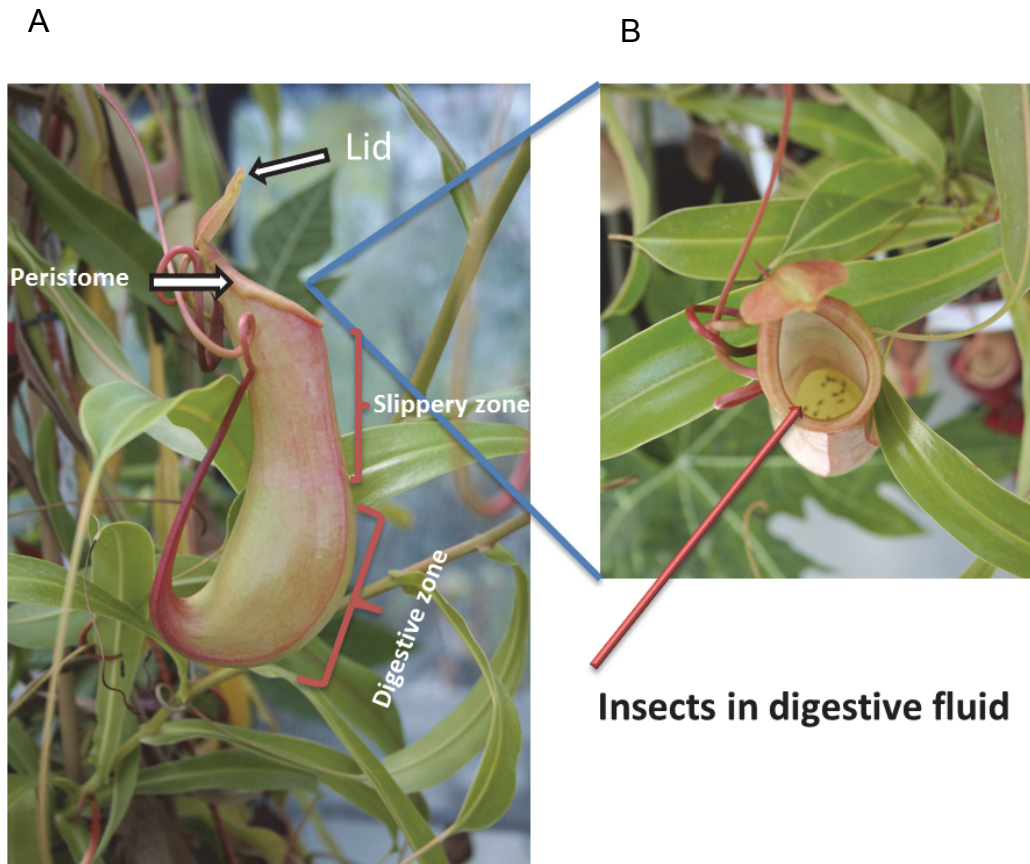


Figure 1. (A) Shown is the carnivorous plant *Nepenthes alata* with the metamorphosed leaf organ, pitcher. Pitchers consist of a digestive zone, slippery zone, peristome and lid. Pitchers contain a digestive fluid inside the digestive zone. (B) Insect prey present in the digestive fluid.

Within the order of Caryophyllales, Nepenthaceae and Droseraceae are the most investigated and prominent carnivorous plant families. In the latter family, an adhesive trap is used by *Drosera spp.*, whereas a snap trap is unique for *Dionaea muscipula* as the only species of its monotypic genus. Within Nepenthaceae, only the genus *Nepenthes*, with more than 120 species is native to South East Asia. Its highest diversity is found on Borneo (Juniper *et al.*, 1989). For prey capture, *Nepenthes* plants use a metamorphosed leaf organ, the pitcher (Figure 1). Particularly three zones are characteristic for the pitcher: first the peristome at the top of the pitcher

that is involved in attracting and trapping the prey; second a slippery waxy zone inside the pitcher, which is involved in trapping and preventing prey escape; and third a digestive zone at the inner bottom part of the pitcher that is covered with bifunctional glands and contains the digestive fluid (Figure 1A) (Mithöfer, 2011). The bifunctional glands are responsible on the one hand for secretion of the digestive pitcher fluid and on the other hand for nutrient uptake generated by prey digestion (Figure 1B). All *Nepenthes* species possess a lid at the peristome, which is sometimes also involved in prey attraction and, in addition, protects the digestive fluid from rain that otherwise might dilute the digestive fluid (Clarke *et al.*, 2009, Grafe *et al.*, 2011).

1.2 Protein composition of *Nepenthes* pitcher fluid

Since the time of Darwin the proteolytic activity in the fluid of carnivorous pitcher plants has been assumed (Darwin, 1875). In recent years, researchers have focused on the elucidation of protein composition in the pitcher fluid by applying proteomic approaches; many proteins were identified (Hatano and Hamada, 2008, Mithöfer, 2011, Rottloff *et al.*, 2011, Hatano and Hamada, 2012, Buch *et al.*, 2014, Rottloff *et al.*, 2016). One of the most prominent proteins in the pitcher fluid is the pepsin-related aspartic protease nepenthesin. Nepenthesin I and nepenthesin II have been purified, cloned and biochemically characterized from different *Nepenthes* species (An *et al.*, 2002, Athauda *et al.*, 2004, Takahashi, 2007). Because of its special biochemical properties, nepenthesin is already applied in technological fields (Kadek *et al.*, 2014) and also used for therapeutic treatment (Rey *et al.*, 2016). Besides this, many other proteins were identified in the pitcher fluid, some might contribute to prey digestion, whereas others are not directly involved in the digestion process, but indirectly assist prey derived nutrient acquisition. Several classes of chitinases were also identified as components of the *Nepenthes* pitcher fluid (Eilenberg *et al.*, 2006, Hatano and Hamada, 2008, Rottloff *et al.*, 2011, Rottloff *et al.*, 2016). Further proteins in the pitcher

fluids from different *Nepenthes* species are identified like peroxidase, ribonuclease, S-like ribonuclease, glucanase and thaumatin-like proteins (Stephenson and Hogan, 2006, Hatano and Hamada, 2008, Hatano and Hamada, 2012, Nishimura *et al.*, 2014, Rottloff *et al.*, 2016). A combination of mass-spectrometry-based proteomics with transcriptome analysis of the pitcher performed in several *Nepenthes* species, allowed the identification of additional protein members. This includes, in addition to novel members of already reported protein classes, new proteins such as carboxypeptidases, α - and β -galactosidases, lipid transfer proteins and esterases/lipases (Lee *et al.*, 2016, Rottloff *et al.*, 2016).

Table 1: Pathogenesis-related (PR) proteins identified in the pitcher fluid of *Nepenthes* species and their PR family classification.

PR family	Type	Species	Reference
PR-1	PR-1 protein	<i>N. mirabilis</i>	Buch <i>et al.</i> , 2014
PR-2	Glucanase	<i>N. alata</i>	Hatano & Hamada, 2008
PR-3	Chitinase I	<i>N. khasiana</i>	Eilenberg <i>et al.</i> , 2006
	Chitinase IV	<i>N. alata</i>	Hatano & Hamada, 2008
PR-5	Thaumatin-like protein	<i>N. singalana</i>	Rottloff <i>et al.</i> , 2009
		<i>N. alata</i>	Hatano & Hamada, 2012
PR-7	Nepenthesin I and II	<i>N. gracilis</i>	Athauda <i>et al.</i> , 2004
	Cysteine-protease	<i>N. ventricosa</i>	Stephenson & Hogan, 2006
PR-8	Chitinase III, endochitinase	<i>N. rafflesiana</i>	Rottloff <i>et al.</i> , 2011
	Chitinase III	<i>N. alata</i>	Hatano & Hamada, 2012
PR-9	Peroxidase III	<i>N. alata</i>	Hatano & Hamada, 2012
PR-10	Ribonuclease	<i>N. ventricosa</i>	Stephenson & Hogan, 2006
	S-like-ribonuclease	<i>N. bicalcarata</i>	Nishimura <i>et al.</i> , 2014
			Lee <i>et al.</i> , 2016

All of the described proteins in pitcher fluids from different *Nepenthes* species can be classified into one of the known families of pathogenesis-related (PR) proteins (Table 1) (Mithöfer, 2011). Recently, even pathogenesis-related protein 1 (PR1) was identified from *Nepenthes alata* pitcher fluid, which in

this particular case showed antibacterial rather than antifungal activity (Buch *et al.*, 2014).

1.3 Plant pathogenesis related proteins

Pathogenesis-related proteins are defined as proteins which are inducible under pathological and related situations in at least one or more pathogen combinations. Numerous pathogenesis-related proteins have been detected and reported in many plant species (van Loon and van Strien, 1999). PR proteins are low molecular weight proteins, normally with size of 10 to 40 kDa. Biochemical properties such as stability in extreme pH and resistance to proteolytic cleavage allow them to survive under harsh conditions (Stintzi *et al.*, 1993). Based on their shared sequence homology, PR proteins are classified into different families. Classification into different groups of PR proteins is also based on migration in native polyacrylamide gel electrophoresis (PAGE), cross-reactivity with antiserum and with mRNA probes. Biological activity of the induced proteins also plays a role for classification into groups and isoelectric points of the proteins are considered for sub-classification in the group. 17 PR protein-families were reported (van Loon *et al.*, 2006).

Expression of PR proteins prevails at the basal level without pathogen attack (van Loon *et al.*, 2006). Different types of pathogens like bacteria, fungi and viruses induce PR proteins in plants (Sinha *et al.*, 2014). It is also observed that PR proteins are inducible under parasitic attacks by insects, nematodes and herbivores (van Loon *et al.*, 2006). Correlation of PR gene induction upon various attacks by a number of plant hormones is also reported (Kitajima and Sato, 1999, van Loon *et al.*, 2006). Furthermore, certain promoter regions, DNA sequences located upstream of gene coding regions, are closely related to the regulation of PR gene expression as a response to particular stimuli (Jung *et al.*, 2005, Spoel and Dong, 2012, Jiang *et al.*, 2015). These promoter regions of PR genes contain *cis*-acting regulatory elements,

specific binding sites for transcription factors, which are proteins involved in initiation and regulation of transcription.

1.4 Regulation of carnivory-related processes in carnivorous plants

To cope with stresses caused by different triggers including pathogen attack, insect herbivory and harsh environmental factors, plants possess inducible defense mechanisms (Mithöfer and Boland, 2012, Verma *et al.*, 2016). Here, plant hormones play a vital role in the regulation of complex signaling networks and downstream events in plants (Mithöfer and Boland, 2012). Very powerful endogenous signals required to mediate plant growth, development, defense related reactions as well as environmental adaptations are phytohormones, endogenous signaling compounds like jasmonic acid (JA), salicylic acid (SA), abscisic acid (ABA), auxin (IAA), cytokinins (CKs) brassinolides or ethylene (Bari and Jones, 2009). JA and SA are the two main players in this complex network, especially in response to pathogen attack (Bari and Jones, 2009). JA normally regulates defense against herbivores and necrotrophic pathogens, whereas defense mechanisms, which target biotrophic and hemi-biotrophic pathogens, are induced by SA. SA is also involved in mediating the development of systemic acquired resistance (SAR) in response to pathogen-attack (Bari and Jones, 2009). Crosstalk between different plant hormones enables them to adapt the already existing defense mechanisms to particular conditions (Verma *et al.*, 2016).

Recently, the involvement of particular plant hormones in carnivory was demonstrated for some carnivorous plants. For example, JA-mediated prey capturing and digestion by forming an “outer stomach”, formed by tentacle and leaf-bending in *Drosera* was shown (Nakamura *et al.*, 2013, Krausko *et al.*, in press) Application of external jasmonates was sufficient to induce leaf-bending movement (Nakamura *et al.*, 2013). Later on, the importance of both mechanical and chemical stimuli to trigger JA and JA-isoleucine conjugate (JA-Ile) biosynthesis was indicated (Mithöfer *et al.*, 2014). Different stimuli

from the prey induced electrical signals and phytohormone response. Mechanical and chemical stimuli induced, first a local, and later, by repeated stimulation, a non-localized tentacle movement and enzyme secretion in sundew plants. Jasmonates play a central role in regulation of the digestive enzyme activity (Krausko *et al.*, in press).

In the fast trap-moving Venus flytrap, *Dionaea muscipula*, jasmonates control excitability, slow closure and external stomach formation by trap closing (Escalante-Perez *et al.*, 2011). JA signaling pathway is activated by electrical signal known as action potential (AP), created by prey contact with trigger hairs of the trap and a number of APs are needed to achieve differential expression of genes encoding prey-degrading hydrolases (Böhm *et al.*, 2016). External JA application to Venus flytrap increased the abundance of a cysteine endopeptidase, dionain, and the whole proteolytic activity in the digestive fluid, which was positively correlated with chemical stimulation from captured prey (Libiakova *et al.*, 2014). Very recently, the impact of JA on endocrine processes and chemo-sensing of nutrients was further demonstrated in *Dionaea* (Bemm *et al.*, 2016).

Jasmonates are derived from α -linolenic acid, which is a fatty acid originated from the chloroplast membrane. Linolenic acid is converted into 12-oxo-phytodienoic acid (OPDA) in the chloroplast and translocated to peroxisome. OPDA is reduced and β -oxidized to jasmonic acid (JA), which is exported to the cytosol. In the cytosol, JA is conjugated to the amino acid isoleucine to form JA-Ile, the most active form of JA. The receptor of JA-Ile, COI1 activates the downstream JA signaling by employing the ubiquitin/26 proteasome pathway that degrades jasmonate ZIM-domain (JAZ) proteins, repressor proteins that prevent the transcription of JA responsive genes (Wasternack and Hause, 2013). These JA responsive genes are associated with many defense-related biological processes in plants (Wasternack, 2007, Wasternack and Hause, 2013).

In case of *Nepenthes*, little is known about prey-derived signals, and the initiation, regulation, and induction of hydrolytic enzymes. Although, the

categorization of the pitcher fluid proteins in the PR protein families, strongly suggest hormonal participation in prey-derived nutrient supplementation, however an involvement of plant hormones also in the carnivory process in *Nepenthes* was unknown. Promoter structures of *Nepenthes* PR genes, which might be closely related to gene expression regulation were also not investigated till now.

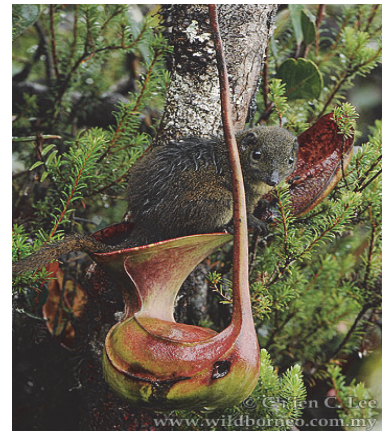
1.5 Coprophagous features in *Nepenthes*

Most of the carnivorous plants including *Nepenthes* are specialized in insect prey capture and digestion. In addition, some distinct species of the genus *Nepenthes*, however, have developed an alternative cooperative lifestyle with animals. These are *Nepenthes hemsleyana*, (former name: *Nepenthes rafflesiana elongata*), *Nepenthes lowii* and *Nepenthes rajah* (Clarke *et al.*, 2010, Grafe *et al.*, 2011, Greenwood *et al.*, 2011, Schöner *et al.*, 2015). In these species a switch from prey digestion-derived sources to animal excrements has been documented (Clarke *et al.*, 2009, Grafe *et al.*, 2011, Greenwood *et al.*, 2011). Due to this switch, those *Nepenthes* species developed a mutualism-based coprophagous lifestyle. Mutualistic relationship has been, for instance, developed between *N. hemsleyana* and a bat, *Kerivoula hardwickii* (Figure 2), where bats are found roosting inside the pitcher. Recently, it was reported that reflective structures of *N. hemsleyana* acoustically attract bats for mutualism (Schöner *et al.*, 2015). A special morphological shape of the *N. hemsleyana* pitcher prevents the bats from falling into the pitcher fluid. Bats are defecating into the pitcher during their roosting activity (Grafe *et al.*, 2011). This, likely, results in plants getting access to high amount of nitrogen in the form of urea from bat urine since more than 70% (molar percentage) of bat urine consists of urea (Hales, 2014).

A



B



C



Figure 2. Mammals visiting specific *Nepenthes* pitchers. (A) Bat, *Kerivoula hardwickii* visiting *Nepenthes hemsleyana*. (B) Tree shrew, *Tupaia montana*, visiting *Nepenthes lowii*. (C) *Rattus baluensis* visiting *Nepenthes rajah*.

A similar mutualistic relationship was reported for *Nepenthes lowii* and the tree shrew, *Tupaia montana*, where the tree shrew feces represents a significant nitrogen source for *N. lowii* plants (Clarke *et al.*, 2009). Pitchers of *N. lowii* contain nectar-like substances inside the lid, which is the main attraction for the tree shrew. This nectar-like exudate is only accessible for tree shrews when they position their body on top of the pitcher, being ready for defecation at the same time (Clarke *et al.*, 2010). The same phenomenon was shown for *Nepenthes rajah* and several rat species. Thus, the pitcher shape is commensurate to the mutualistic partner that supports coprophagous behavior of these *Nepenthes* species. All described

interactions are good examples for multidirectional resource-based mutualism of carnivorous plants with mammals (Greenwood *et al.*, 2011). In all of these cases *Nepenthes* benefits from animal exudates. It was shown that *Nepenthes* plants get significant amount of nitrogen from this mutualism. Visiting activity of mutualistic partners significantly increased total foliar nitrogen content in *N. hemsleyana* and *N. lowii* plants. (Clarke *et al.*, 2009, Grafe *et al.*, 2011) However, the precise origin of nitrogen and biochemical background underlying this mechanism of nitrogen usage remains unclear.

1.6 Urea and urease in plant

Nitrogen (N) is one of the basic elements of proteins and nucleic acids (DNA, RNA). Lower N content per amino acid residue in plants compared to animals is observed, suggesting the evidence for an influence of environmental resource availability on proteomes of multicellular organisms. This observation is reflected in the decreased abundance on N-rich amino acids in the plant proteome (Elser *et al.*, 2006). Thus, nitrogen availability and metabolism is a vital factor for plants. Typically, biochemically accessible forms of nitrogen, generated with the help of microorganisms, are taken up by plant roots (Ibanez *et al.*, 2016). In agriculture, for example, urea serves as an excellent source for nitrogen. In animals, urea is excreted from the body as a metabolic waste product in order to remove toxic ammonia (Wright, 1995). The endogenous metabolic sources of urea are arginine and purine degradation. Nitrogen from internally and externally provided urea is only available for plants after urea hydrolysis (Wang *et al.*, 2008, Witte, 2011).

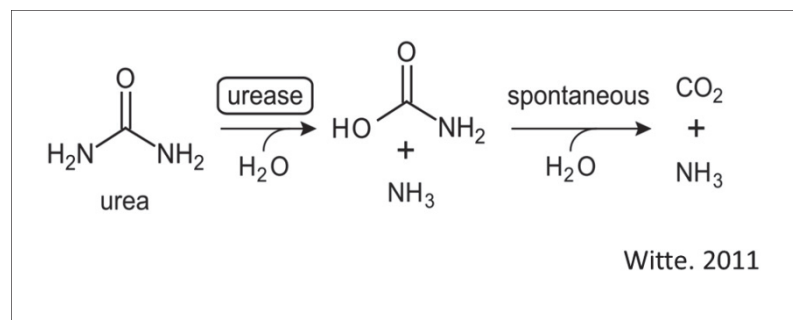


Figure 3. Urease reaction. Urea is enzymatically hydrolyzed to ammonia and carbamate. Further, ammonia and carbonic acid is generated subsequently by non-enzymatic decay of carbamate (adapted from Witte. 2011).

Therefore, the hydrolysis of urea to ammonia and carbon dioxide is necessary for making nitrogen accessible for assimilation (Figure 3). Urease is the only known nickel-dependent metalloenzyme and the only enzyme responsible for urea hydrolysis (Witte, 2011). Urease is produced by bacteria, fungi, yeast, and plants. Urea hydrolysis provides these organisms with a source of nitrogen. Plant and fungal ureases are homo-oligomeric proteins of 90-kDa identical subunits. Bacterial ureases however, are multimers of two- or three-subunit complexes. Nevertheless, bacterial urease share high sequence homology with plant urease (Balasubramanian and Ponnuraj, 2010). For the coprophagous lifestyle described for some *Nepenthes* species a role for urease is essential; however this topic still needed to be addressed.

1.7 Aim of the thesis

High adaptive plasticity enables plants to respond to changing environments. Some plants developed the carnivorous life style as an adaptation to limited nutrient acquisition in natural habitats. Carnivorous pitcher plant of the genus *Nepenthes* mainly rely on insect prey trapping and digestion and, hence, supply the plant with prey-derived nutrients. Additional coprophagous specialization of few *Nepenthes* species that interact with mammals seems to obtain high amount of nitrogen from their defecating mutualistic partners. The aim of this work was to study and identify exogenous and endogenous signals that mediate and regulate prey digestion in *Nepenthes*. In addition, the basis for coprophagous lifestyle in few *Nepenthes* species should be investigated.

The overall goal was to challenge the hypothesis that plant carnivory developed mainly from plant defense mechanisms and uses inherently existing tools. Therefore, the following aspects were studied in detail:

- ✓ Identification of prey-derived signals for the induction of genes encoding proteins for the pitcher fluid of *Nepenthes*.
- ✓ Analysis of the role for phytohormones in plant carnivory in *Nepenthes*.
- ✓ Promoter analysis for *Nepenthes* PR genes.
- ✓ Proof of principle of feces-derived origin of nitrogen in specialized coprophagous *Nepenthes hemsleyana*.

2. Manuscript overview

2.1 Manuscript I

Nepenthesin protease activity indicates digestive fluid dynamics in carnivorous *Nepenthes* plants

Authors: Franziska Buch, Wendy E. Kaman, Floris J. Bikker, Ayufu Yilamujiang, Axel Mithöfer

Status: Published, PLoS ONE 10(3): e0118853, 2015.

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The aim of the study was to investigate the generation and induction of the aspartic protease, nepenthesin, in the pitcher fluid of *Nepenthes*. By employing FRET (fluorescent resonance energy transfer)-based technique that uses a synthetic fluorescent substrate, an easy and rapid procedure was established, which allowed the detection of protease activities in the digestive fluids of various *Nepenthes* species. Biochemical studies for heterologously expressed Nepenthesin II proved that the proteolytic property of the digestive fluid relied on the presence of aspartic proteases. By applying FRET-based approach, the induction and dynamics of nepenthesin in the digestive pitcher fluid could be studied directly upon challenge with insect prey or plant material. It was observed that proteolytic activity was induced not only by prey and litter but also by the phytohormone jasmonic acid in contrast to salicylic acid. The results suggest that jasmonate-signaling pathways are involved in plant carnivory.

F.B., A.M. conceived and designed the experiments; F.B., A.Y. performed the experiments; F.B., A.Y. and A.M. analyzed the data; W.E.K., F.J.B. contributed reagents/ materials/ analysis tools; F.B., W.E.K., F.J.B., A.Y. and A.M. wrote the paper.

2.2 Manuscript II

Slow food: Insect prey and chitin induce phytohormone accumulation and gene expression in carnivorous *Nepenthes* plants

Authors: Ayufu Yilamujiang, Michael Reichelt, Axel Mithöfer

Status: Published, *Annals of Botany*, 118: 369–375, 2016.

doi:10.1093/aob/mcw110.

The aim of this study was to investigate prey-dependent induction of genes encoding proteins that are present in the pitcher fluid of *Nepenthes alata*, and the role of phytohormones in this process. It is demonstrated that insect prey as well as chitin is able to induce jasmonate phytohormones, which in turn can induce genes for digestive enzymes such as a protease, nepenthesin, or a chitinase. The results suggest that upon insect prey capture, a sequence of signals is initiated: (I) insect-derived chitin, (II) jasmonate as endogenous phytohormone signal, (III) induction of gene expression, (IV) digestive proteins expression. These results support the idea that carnivory evolved from plant defense.

A.Y. and A.M. conceived and designed the research; A.Y. performed the experiments; M.R. performed hormone measurements; A.Y., A.M. wrote the paper.

2.3 Manuscript III

Coprophagous features in carnivorous *Nepenthes* plants: a task for ureases

Authors: Ayufu Yilamujiang, Anting Zhu, Rodrigo Ligabue-Braun, Stefan Bartram, Claus-Peter Witte, Rainer Hedrich, Mitsuyasu Hasabe, Caroline R. Schöner, Michael G. Schöner, Gerald Kerth, Célia R. Carlini, Axel Mithöfer

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Aim of this study was to investigate the molecular background and the role for urease in a coprophagous lifestyle in *Nepenthes*. The presence and enzymatic activity of a urease in *Nepenthes* plant tissues was shown. It was demonstrated that ¹⁵N-enriched urea provided to *Nepenthes* pitchers is metabolized and its nitrogen is distributed within the plant. By applying PCR approach, urease cDNA from *N. hemsleyana* urease was isolated and heterologously expressed. Urease activity was only detectable by co-expression with *Arabidopsis* urease accessory proteins, UreD, UreF, UreG. A comprehensive phylogenetic analysis for eukaryotic ureases, including *Nepenthes* and five other carnivorous plants' taxa, identified them as canonical ureases and reflects the plant phylogeny. Hence, this study reveals the high adaptive plasticity in plants by developing a further specialized lifestyle from carnivory to coprophagy, on demand for nitrogen.

A.Y., C.R.C. and A.M. conceived and designed the research; A.Y., A.Z., S.B., and C.R.S., M.G.S, and C.-P.W. performed the experiments; R.L.-B. carried out phylogenetic analysis; R.H. and M.H. provided sequence information; C.R.S., M.G.S, G.K. established, grew and provided *N. hemsleyana* plant material; A.Y., A.M., and R.H. wrote the manuscript. All authors contributed to the manuscript.

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3. Manuscripts

3.1 Manuscript I

RESEARCH ARTICLE

Nepenthesin Protease Activity Indicates Digestive Fluid Dynamics in Carnivorous *Nepenthes* Plants

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Abstract

Carnivorous plants use different morphological features to attract, trap and digest prey, mainly insects. Plants from the genus *Nepenthes* possess specialized leaves called pitchers that function as pitfall-traps. These pitchers are filled with a digestive fluid that is generated by the plants themselves. In order to digest caught prey in their pitchers, *Nepenthes* plants produce various hydrolytic enzymes including aspartic proteases, nepenthesins (Nep). Knowledge about the generation and induction of these proteases is limited. Here, by employing a FRET (fluorescent resonance energy transfer)-based technique that uses a synthetic fluorescent substrate an easy and rapid detection of protease activities in the digestive fluids of various *Nepenthes* species was feasible. Biochemical studies and the heterologously expressed Nep II from *Nepenthes mirabilis* proved that the proteolytic activity relied on aspartic proteases, however an acid-mediated auto-activation mechanism was necessary. Employing the FRET-based approach, the induction and dynamics of nepenthesin in the digestive pitcher fluid of various *Nepenthes* plants could be studied directly with insect (*Drosophila melanogaster*) prey or plant material. Moreover, we observed that proteolytic activity was induced by the phytohormone jasmonic acid but not by salicylic acid suggesting that jasmonate-dependent signaling pathways are involved in plant carnivory.

Introduction

Charles Darwin's work "Insectivorous Plants" published in 1875 [1] still contains much of what we know about those specialized plants. However, Darwin never worked with the genus *Nepenthes*, which is distributed primarily in Southeast Asia. *Nepenthes* pitcher plants have so-called pitfall-traps that are divided into *i*) an upper part representing the attraction zone, *ii*) a part in the middle representing the slippery zone, and *iii*) a lower part, the digestion zone.

Pitchers are filled with a digestive fluid, or enzyme cocktail, to digest caught prey [2,3]. Even closed pitchers have such a fluid, which is both plant-derived and sterile [4]. Since Darwin, scientists have known that hydrolytic activity—in particular, proteolytic activity—is present in insectivorous plants. In addition to proteases, the digestive fluid of *Nepenthes* spp. is known to contain various esterases, phosphatases, ribonucleases and different chitinases (e.g. [2,3,5,6,7,8]). Proteases in digestive fluid from several species of *Nepenthes* have also been described early [9], purified and characterized (e.g. [10,11,12]). However, only An et al. [13] cloned nepenthesins from the pitcher tissue of *N. alata*. Two years later nepenthesin I and II from *N. distillatoria* were purified and characterized [14]. After the nepenthesin cDNAs were cloned from *N. gracilis* pitchers [14], these proteases were identified as members of a new sub-family of aspartic proteases [14,15,16]. In addition, Stephenson and Hogan [17] reported a cysteine protease in *N. ventricosa*.

Otherwise, little is known about the regulation and induction of hydrolytic enzymes involved in the digestive process in carnivorous plants. In recent years, gene induction analyses were carried out on the tissue of the pitcher to search for hydrolytic enzymes [5,6,18]. This also holds true for the very prominent aspartic proteases in *Nepenthes* [13], although the proteolytic activity in the pitcher fluid represents an ideal target to follow and study dynamic processes during carnivory in *Nepenthes* pitfalls. But up to now, the low amounts of enzymes in the pitchers have made it impossible to analyze changes in the digestive fluid depending on developmental stages of the pitcher or in response to prey capture.

Here, we report on the introduction of a new technique, the highly sensitive FRET (fluorescent resonance energy transfer), for the direct, easy and rapid detection and characterization of protease activity in the digestive fluids of *Nepenthes*. Using a synthetic fluorogenic substrate, i.e. FRET peptide, we investigated the dynamics of protease activity in response to various stimuli. In addition, we cloned and expressed the proteases involved in the enzymatic reaction, nepenthesin I and II.

Material and Methods

Organisms and culture conditions

Nepenthes plants (*N. mirabilis*, *N. alata*) were grown in the greenhouse of the Max Planck Institute for Chemical Ecology in Jena under controlled conditions. The plants were cultivated in a growth chamber with a photoperiod of 15 h light/9 h dark, day/night temperature of 18–20°C/16–18°C and humidity about 55%. Every day, plants were sprayed and every second day they were watered with rain water.

Both tissue from the lower part of the pitchers and pitcher fluid from *N. mirabilis* and *N. alata* were used for this study. As well, the pitcher fluid of other *Nepenthes* species (*N. reinwardtiana*, *N. distillatoria*, *N. wittei*, *N. hookariana*, *N. boschiana*, *N. maxima*, *N. eymae* and the hybrid *N. alata* × *N. ventricosa*), which were grown in the greenhouses of the Botanical Gardens in Jena and Munich, were used for fluorescence intensity measurements. The pitcher fluid samples were collected from closed pitchers using a sterile syringe, from open pitchers by pouring fluid directly into 15 ml sterile Falcon tubes.

Spodoptera frugiperda Sf9 cells, derived from the pupal ovarian tissue of the insect and originating from the IPLBSF-21 cell line (Invitrogen, Darmstadt, Germany), were used for the transfection and expression of the *Nepenthes* aspartic proteinases, nepenthesin (Nep) I and II. They were cultured at 27°C in Sf-900 II serum-free medium (sf-medium) (Gibco) in presence of 50 µg/ml gentamycin.

Measuring protease activity with fluorescent substrate and FRET

Using a small and highly specific FRET peptide substrate (FITC(Ahx)-Val-Val-LysDbc), encoded as PFU-093 by Kaman et al. [19,20], we measured the proteolytic activity of the pitcher fluid. PFU-093, one of many substrates developed to study the presence of bacteria *in situ* (saliva, sputa, serum), was designed with fluorescein isothiocyanate (FITC) operating as a fluorophore and LysDbc acting as its quencher. When both molecules are physically close, the connection made by the two-amino acid bridge quenches the fluorescence and no activity can be detected (Fig. Aa in [S1 File](#)). However, when proteolytic activity separates the fluorophore and quencher, fluorescence intensity can be measured using a microplate reader (Tecan infinite M200, Männedorf, Switzerland) (Fig. Ab in [S1 File](#)). We mixed 50 μ l of *Nepenthes* pitcher fluid, 49 μ l pure water (Gibco) for dilution and 1 μ l of 80 μ M PFU-093 in black 96-well microtiter plates (Greiner Bio-one GmbH, Frickenhausen, Germany), and measurements were taken for up to 11 h. The fluorescence activity was measured at 42°C and an excitation/ emission wavelength of 485 nm/530 nm.

Biochemical studies

The impact of pH on the FRET method was tested by incubating 500 μ l *N. alata* pitcher fluid mixed with 245 μ l pure water and 5 μ l of 80 μ M PFU-093. After 10 h at 42°C, 50 μ l each of this mixture was transferred into 10 different wells and mixed with another 50 μ l of various 30 mM buffer solutions (pH 2, pH 3, pH 4, up to pH 10) with H₂O as a control. Then fluorescence was measured and the following categories were used: for an acidic range, citrate buffer from pH 2 to 4.9; for an acid-base balance, phosphate buffer from pH 4.9 to pH 8.5; and for a basic pH range, glycine buffer from pH 8.5–10.

To verify the stability of the reaction, digestive fluid was pre-incubated with 30 mM citrate buffer pH 4.0 for 10 h at 42°C; as a control, pre-incubation was done with water. For fluorescence measuring, 50 μ l of this mixture was either mixed with 30 mM phosphate buffer pH 8 or with 30 mM citrate buffer pH 4.

Inhibitor tests were performed by mixing 50 μ l of pitcher fluid, 1 μ l of 80 μ M PFU-093 and various inhibitors at different concentrations: including 100 μ M phenanthroline, 100 μ M AEBSF, 20 μ M E-64 and 100 μ M pepstatin A. Samples were mixed with pure water to 100 μ l final volume and measured as described earlier, including the inhibitors solvents (DMSO, MeOH, H₂O) and pure pitcher fluid for control.

Determination of substrate cleavage site

To determine the cleavage site of PFU-093 substrate cleaved by *Nepenthes* aspartic proteases, Nep I/II, HPLC-MS was performed. For this measurement, 495 μ l pitcher fluid of both *N. mirabilis* and *N. alata*, as well as 5 μ l of 80 μ M PFU-093 substrate, was added to 1.5 ml safe-lock tubes (Eppendorf AG, Hamburg, Germany) and incubated for 11 h at 42°C. This mixture was concentrated by drying and subsequently resolved in 60 μ l H₂O. Of the concentrated probe, 20 μ l was injected into a Dionex UltiMate 3000 HPLC system, equipped with a Kinetex C18 column and connected to a Thermo Fisher LTQ for MS. ESI-MS in positive ion mode was used for searching three different fragment masses; m/z 397.47, m/z 496.28, m/z 619.8 (Fig. Ac in [S1 File](#)).

RNA preparation and cDNA synthesis

Tissue samples were collected by cutting a pitcher for homogenization, using only the lower third, i.e. the part that possesses the multicellular glands [21]. Total RNA from the lower part

of one *N. mirabilis* pitcher was isolated using the InviTrap Spin Plant RNA Mini Kit (Stratag Molecular, Berlin, Germany) following the manufacturer's protocol. For RNA cleanup and concentration RNeasy MinElute Cleanup Kit (Qiagen, Hilden, Germany) was used. First-strand cDNA was synthesized using SuperScript III First-Strand Synthesis SuperMix (Invitrogen) as well as up to 5 µg total RNA according to the specified protocol.

Rapid amplification of cDNA ends (RACE), cloning and sequencing

Previously sequenced *N. gracilis* and *N. alata* nepenthesin mRNA transcripts (GenBank accessions: NgNepI, AB114914; NgNepII, AB114915; NaNepI AB266803) were aligned using the CLUSTAL-W multiple sequence alignment program (available from: <http://www.genome.jp/tools/clustalw/>) and assessed for percent sequence identity. Specific primers for both nepenthesin I and II were designed in regions where 100% sequence identity was found (Table A in [S1 File](#), primer sequences 1–4). These primers were used to amplify fragments of cDNA sequences using *N. mirabilis* cDNA as a template for the PCR reactions. Subsequently, the amplified products were cloned into a pCR 2.1-TOPO vector following the described TOPO TA cloning protocol (Invitrogen, Darmstadt, Germany) and sent for sequencing (Eurofins MWG Operon, Ebersberg, Germany). The resulting partial sequences were used to design *N. mirabilis* Nep I and II RACE primers via version 4.0.0. of Primer3Web software [22] (Table A in [S1 File](#), RACE primer sequences 5–8). For generating 5'- and 3'- RACE-Ready cDNA, the manual of the SMARTer RACE cDNA Amplification Kit (Clontech, Mountain View, CA, Canada/ USA) was followed. The generation of 5' and 3' cDNA fragments of Nep I and II was described as 5'-RACE and 3'- RACE PCR reactions in the manufacturer's manual. To clean and concentrate DNA, DNA Clean & Concentrator-5 (Zymo Research, Irvine, CA, USA) was used. The resulting amplified products were cloned into a pCR 2.1-TOPO vector following the described procedure (see above). The resulting plasmids were sequenced by Eurofins MWG Operon. The complete Nep I and II cDNA sequences were identified by using the DNASTAR Lasergene Software SeqMan Pro (Madison, WI, USA). Subsequently, known Nep I and II protein sequences from *N. gracilis* (Ng) and *N. alata* (Na) were compared with the sequences of *N. mirabilis* (Nm). Protein sequences from NCBI Genbank were aligned by using "Jalview- Open Source Bioinformatics"- Software [23] and "MegAlign (DNASTAR)"- software version 10.1.2.

Expression in insect cells and Western blot

For functional identification, cDNA was amplified with primer sequences 9–10 for Nep I and primer sequences 11–12 for Nep. II (Table A in [S1 File](#)) to obtain an open reading frame (ORF) that lacks the predicted signal peptide (SP). The cDNA from Nep I and II was subcloned in pMIB/V5-His vector A (Invitrogen, Darmstadt, Germany) for transfection into Sf9 cells using lipid-mediated transfection. Cells were transfected in 60-mm diameter Petri dishes with 4 µg of plasmid DNA using Insect Gene Juice (Novagen, Nottingham, UK) as a transfection reagent. After 48 h, cells were split 1:5 in a 60-mm diameter Petri dish and selected with 80 µg/ml blasticidin until they reached confluency. Expression was analyzed by Western blot using the anti-V5 horseradish peroxidase antibody (Invitrogen).

Auto-activation of *Nepenthes mirabilis* nepenthesin II

Recombinant nepenthesin II (NmNepII/Sf9) from *N. mirabilis*, expressed in Sf9 culture medium, was dialyzed against pure H₂O (Slide-A-Lyzer Dialysis Cassettes; 10.000 MWCO, Thermo Fisher Scientific, Bonn, Germany) for 24 h; the same was done with Sf9 culture medium (Sf9cm) only for a control. Next, 500 µl of the dialyzed NmNepII/Sf9 and 500 µl of Sf9cm were incubated at room temperature; 60 µl 1 M glycine- HCl- buffer (pH 4) was added to promote

auto-cleavage of the protease and to eliminate the pro-peptide (in Nep II protein sequence at position 73) by acidification. After 24 h of incubation at pH 4, 100 μ l of 100 mM Tris- HCl buffer pH 8.5 was added to both mixtures to achieve a more basic pH range to measure fluorescence. Furthermore, 500 μ l of *NmNepII/SF9* and SF9cm was measured without pre-incubation in pH 4 buffer. Fluorescence intensity measurements of each mixture were taken every 5 min for 6 h in a microplate reader in a black 96-well plate with 5 technical replicates.

Protease activities in *Nepenthes* pitcher fluids induced by different treatments

To induce protease activity, the pitcher fluids of two *Nepenthes* species, *N. alata* and *N. mirabilis*, were supplemented with i) fruit flies, *Drosophila melanogaster*, as insects represent the natural food, and ii) a piece of *Nepenthes* leaf as plant-derived food material. In addition, the phytohormones iii) jasmonic acid (JA), and iv) salicylic acid (SA) were also tested for their ability to induce protease activity. In both cases the phytohormones were injected directly into the pitcher fluid.

Fluorescence activity was measured first for control values before feeding/ treating *Nepenthes* plants (time point 0 h) and subsequently at different time points (from 24 h to 240 h) samples were taken and analyzed for proteolytic activity using the PFU-093 substrate. Ten *D. melanogaster* flies, two pieces of 1.5 x 1.5 cm damaged *Nepenthes* leaf, and approximately 200 μ M each of JA and of SA were added to the pitcher fluid. Usually, three replicates were done; duplicates for feeding with *Nepenthes* leaf material, and four repeats in the case of SA. Experiments were performed under semi-sterile conditions by covering the pitchers with gauze before plants opened their lids (Fig. 1).

As an additional experiment, the pH regulation in the digestive fluid was observed *in vivo*: 5 pitchers were each challenged with 40 *D. melanogaster* flies. The pH of digestive fluids was measured before (0 h) and at different time points (between 2 and 192 h) after treatment by immersing test strips directly into the pitcher fluid. Measurements included two control pitchers without fruit flies. In addition, 200 μ l samples taken at various time points (0, 96, 144, 192 h) were tested for protease activity by incubating 50 μ l pitcher fluid mixed with 39 μ l H₂O and 1 μ l of 80 μ M PFU-093 substrate per reaction for 5 h at room temperature. Afterwards, probes were mixed with 10 μ l of 100 mM Tris- HCl buffer, pH 8.5, to a final volume of 100 μ l and pH 8 in each sample, and subsequently, samples were measured in a microplate reader. The removed volume was replaced with 25 mM KCl.

Results and Discussion

Proteolytic activity in *Nepenthes* pitchers

Employing the FRET technique with PFU-093 as substrate, proteolytic activity was detected in the pitcher fluid of all ten *Nepenthes* species tested although at different intensities (Fig. 2A). Because fluids from closed pitchers were found to be sterile and contain only plant-derived components [4,24,25], the digestive fluids from closed and open pitchers of *N. alata* were also compared. In Fig. 2B, proteolytic activity was detectable in both samples, indicating that the activity in the closed pitcher originated exclusively from the plant itself. Based on that, sterile digestive fluids from closed or newly opened pitchers (kept semi-sterile with gauze, Fig. 1B) were used for further measurements.

The pH of the substrate cleavage reaction was close to 8. This contradicted published results for pitcher fluids containing proteases, describe acidic pH ranges [13,25]. Therefore, the proteolytic reaction was performed at neutral pH (water) for 10 h, and afterwards the pH was

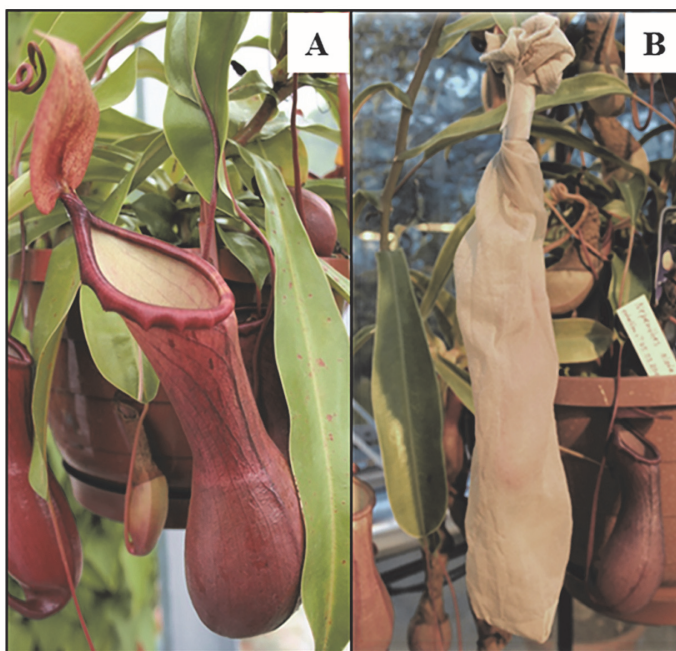


Fig 1. *Nepenthes alata* pitchers. A Without and **B** covered with gauze. Pitchers/ pitcher fluid of *Nepenthes* species can be kept under semi-sterile conditions by using gauze.

doi:10.1371/journal.pone.0118853.g001

adjusted with buffers of high molarity before the final fluorescence was measured. In the control, only water was added. The result (Fig. Ba in [S1 File](#)) suggests that the PFU-093 intrinsic fluorescence was quenched under acidic conditions and depended on decreasing pH levels, whereas at neutral and basic pH levels it was detectable. This forced us to keep or adjust the pH value between 7 and 8 in all fluorescence measurements.

In order to analyze whether the proteolytic reaction takes place not only at neutral pH but also under acidic conditions, a subsequent incubation experiment at pH 4 was undertaken. Results revealed that it was possible to restore measurable fluorescence simply by adding a strong buffer and adjusting the pH of the sample to 8 directly after incubation (Fig. Bb in [S1 File](#)). This result also demonstrates that the proteases, which cleave the artificial substrate, are stable and active at acidic as well as slightly basic pH ranges, suggesting that these enzymes act like the aspartic proteinases nepenthesin I and II, purified and characterized from several *Nepenthes* species [9,10,11,12,13,14,15,16,17,26].

Since the most prominent proteases in *Nepenthes* pitcher fluid are nepenthesins, it was conceivable that the activity we measured was due to those aspartic proteases. To challenge this idea, various inhibitors with specificities against different types of proteases were used in combination with the proteolysis assay. Inhibitors for metalloproteases (phenanthroline), serine-proteases (AEBSF), and cysteine-proteases (E-64) showed no or minor effect ([Fig. 3](#)). In contrast, the proteolytic activity in the pitcher fluid is strongly inhibited (4.0 to 4.5% remaining activity) by the aspartic protease inhibitor pepstatin A. This result is in agreement with results obtained for pepstatin-inhibition of nepenthesin activity in *N. alata* [13] and *N. distillatoria* [14].

A detailed analysis of the cleavage reaction determined the cleavage site in the substrate (Figs. Ab and Ac in [S1 File](#)) shows the putative cleavage sites and the resulting products). An

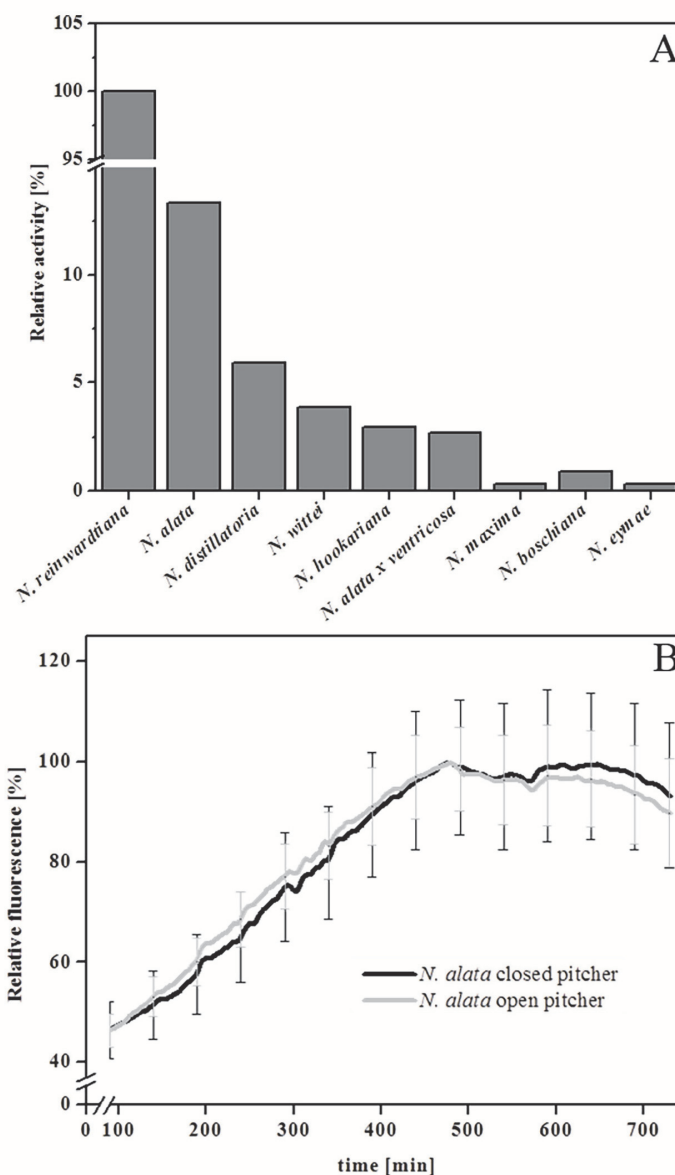


Fig 2. Proteolytic activity in *Nepenthes*. **A** Samples of the pitcher fluid of ten different *Nepenthes* species were investigated for their PFU-093 cleaving activity. **B** Kinetics of proteolytic PFU-093 cleaving activity in pitcher fluid from open (grey line) and closed (black line) pitchers of *Nepenthes alata*. Relative fluorescence was measured over 12 h at 42°C.

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HPLC-MS analysis of the PFU-093 cleavage products after treatment with *Nepenthes* proteases proved that, as expected, the substrate was cleaved between the two valines (Fig. 4). Although the molecular weight of the substrate was 1098 g/mol (Fig. 4B), a fragment ion with m/z 620, corresponding to the FITC(Ahx)-Val fragment (Fig. 4C), was detected after flies were digested

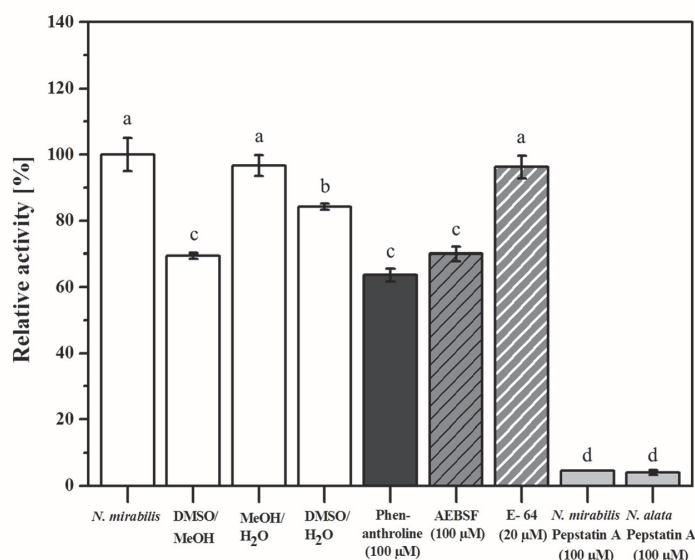


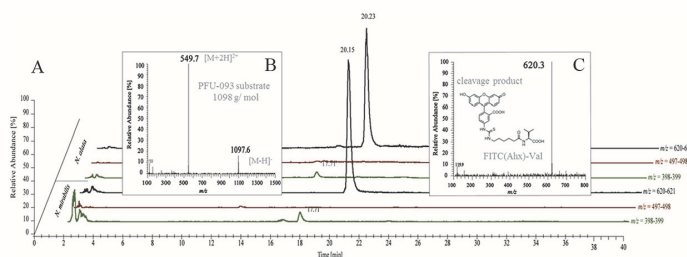
Fig 3. Inhibitor experiments. Different protease inhibitors—phenanthroline, AEBSF, E-64 and pepstatin A—were tested for their ability to inhibit protease activity responsible for PFU-093 cleavage. Individual working concentrations are indicated in brackets. Pitcher fluid from *N. mirabilis* without any inhibitor was used as a control (from left, first bar). Additional controls were carried out with the particular solvents of the inhibitors (DMSO, MeOH, H₂O). Statistics was done using one-way ANOVA, All Pairwise Multiple Comparison Procedures (Student-Newman-Keuls Method), $P < 0.05$; different letters indicate significant differences.

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in pitcher fluid from both *N. mirabilis* and *N. alata* (Fig. 4A). Searching for a fragment $m/z = 397$ – 398 , we found a smaller peak in the chromatogram (Fig. 4B, green line) at R_t 17.1 min. This peak likely represents the “LysDbc” cleavage fragment and indicates that the second valine was also cleaved off. No fragment representing “Val-LysDbc” ($m/z = 497$ – 498) was detected.

Cloning and heterologous expression of nepenthesin

To confirm that nepenthesin is the active protease involved in PFU-093 degradation, the cDNAs of nepenthesin I and II were cloned. First RNA was isolated from *N. mirabilis* pitchers.



After synthesizing cDNA, RACE PCR was further used to isolate the missing 5' and 3' regions. The resulting complete cDNA sequences of both Nep I and II, which include 5'- and 3'- untranslated regions, had 1570 and 1610 base pairs, respectively. The ORFs for Nep I and II both had 1314 base pairs, encoding 437 amino acid sequences (GenBank accessions AFV26024 (Nep I), AFV26025 (Nep II)). Both Nep I and II possess a signal peptide for secretion which is predicted to have 24 amino acids (prediction was made using SignalP 4.1 Server [27]). The predicted molecular weights of Nep I and II without signal peptides were calculated with 43.7 and 43.5 kDa, respectively. In addition, Nep I possesses seven predicted N-glycosylation sites used NetNGlyc 1.0 Server [28], whereas Nep II possesses only two. These observations support the fact that the glycosylation of nepenthesin proteins has been previously observed in *Nepenthes distillatoria* [14].

Percent identity between *N. mirabilis* (NmNepI/II), *N. alata* (NaNepI/II) and *N. gracilis* (NgNepI/II) amino acid sequences, was determined by alignment by using the CLUSTAL-W software program (see above) (Fig. C in S1 File). We found that the Nep I and Nep II sequences had rather high percent identity: 94.1% (NmNepI:NgNepI), 99.1% (NmNepI:NaNepI) and 96.1% (NmNepII:NgNepII). However, the maximum percent identity is about 66% when sequences of NmNepI are compared to those of NmNepII. In Fig. C in S1 File the predicted signal peptide (SP) cleavage sites, predicted by SignalP- software [27] are shown to be located between amino acid positions 24 and 25: THS/TS. Thus, the active proteins start with the amino acids "NGPS" (Nep I) and "QSSS" (Nep II), respectively. Between the SPs and the active protein, propeptide sequences [14] were detected (Fig. C in S1 File). The *N. mirabilis* proteases were found to be typical nepenthesin-aspartic proteases: on one hand they lack the PSI (plant-specific insertion), which is typical for vacuolar APs [29], and on the other hand, they possess a special insertion assigned to residues 148–169 and known as 'the nepenthesin- type AP (NAP)-specific insertion' [14]. This insertion contains four additional cysteine residues (arranged pairwise to form disulphide bonds), shown in Fig. C in S1 File as yellow, dark green, light green and orange; these residues contribute to the primary structure [14,15] and precede the tyrosine residue that is shown as a small green box above the sequence. The other two cysteine residues are red and light red. The two catalytic aspartic acid residues are shown as small black boxes.

For heterologous expression in insect Sf9 cells, primers (see above) were used to amplify the ORFs of Nep I and II excluding their native signal peptide and stop codon. These fragments were cloned in frames with the sequence corresponding to the signal peptide from the bee melittin at the 5'-end and with a V5-(His)₆ tag at the 3'-end. After 72 h, culture medium was harvested and tested by Western blotting, using an anti-V5 horseradish peroxidase antibody that showed the expression of NmNepII/Sf9 (Fig. D in S1 File) but not of NmNepI/Sf9. However, NmNepII/Sf9 did not show proteolytic activity.

The proteolytic activity of NmNepII/Sf9 was activated by an acid-mediated cleavage of the expressed propeptide as described for nepenthesin-1 from *N. gracilis* expressed in *E. coli* [30]. After being activated, NmNepII/Sf9 was incubated for 24h at pH 4; then its protease activity could be measured (Fig. 5) at different protein concentrations (lines 1–3). The control without the presence of PFU-093 substrate (line 4) and the NmNepII/Sf9 control lacking auto-activation (line 5) show no detectable activity. The activity of NmNepII/Sf9 confirms our initial assumption that the aspartic proteases in the pitcher fluid, i.e. nepenthesins, are responsible for cleaving the fluorescent substrate.

To see a direct correlation between proteolytic activity and the pH of the pitcher fluid, an additional experiment was done in which pitchers were supplemented with fruit flies and pH was determined every time samples were taken (Fig. 6A). In Fig. 6A, the pH of pitcher fluid decreased after flies were added to the fluid, and a value of 4 was reached in only 48 h, a value of around 3 after 96 h. pH continued decreasing until 192 h. Just as nepenthesins become auto-

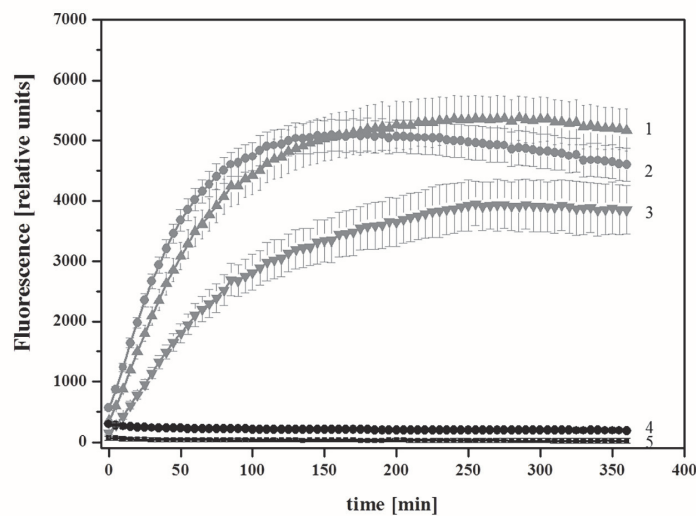


Fig 5. Proteolytic activity of recombinant *N. mirabilis* Nepenthesin II (*NmNepII/Sf9*). PFU-093 fluorescence was measured over 6 h every 5 min after pre-incubation at pH 4 for 24 h for auto-activation. Different concentrations of *NmNepII/Sf9* were tested with constant concentrations of PFU-093 substrate. Line 1: 99 µl of *NmNepII/Sf9*; line 2: 49 µl *NmNepII/Sf9*; line 3: 24.5 µl *NmNepII/Sf9*. All were mixed with pure H₂O and 1 µl of 80 µM fluorescent substrate to a total volume of 100 µl per well. Controls: line 4: 99 µl *NmNepII/Sf9* and H₂O without the addition of fluorescent substrate; line 5: 99 µl *NmNepII/Sf9* and 1 µl of 80 µM PFU-093 without pre-incubation/ auto-activation in pH 4 glycine-buffer.

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activated when the surrounding medium is acidified (Fig. 6) [18], so protease activity increases when pitcher fluid is acidified by the addition of *D. melanogaster*. In Fig. 6B, a significant increase of protease activity is shown 96 h after the addition of fruit flies, confirming the role of nepenthesins as active proteases.

Induction of nepenthesins

FRET is a tool to directly analyze proteolytic, namely nepenthesin, levels in the digestive fluids of *Nepenthes*. Compared with others (e.g. [13]), this method is faster, more convenient, and specific for aspartic proteases. Moreover, kinetics can be easily measured with the same sample. The direct analysis of nepenthesins as one of the most prominent enzymes in the digestive fluid of *Nepenthes* pitchers can be seen as the leading-activity for changes in the pitcher fluid activities that occur, for example, after the plants capture prey. As recently shown for the carnivorous plant *Dionaea muscipula* [31,32], besides nepenthesins the presence of more proteases can be expected. However, in *Nepenthes*, only in *N. ventricosa* a cysteine proteinase activity was described in the pitcher fluid and a cDNA encoding a putative cysteine protease was cloned from pitcher tissue [17].

Up to now, the induction of hydrolytic enzymes in the pitcher was investigated only indirectly by gene induction analyses of the pitcher tissue [5,6,13,33]. Now, we are able to follow such dynamic processes simply by determining the Nep protease activity. Such analyses require pitchers of *N. mirabilis* and *N. alata* to first be treated by supplementing them with prey such as *D. melanogaster*. This treatment resulted in a significant increase in proteolytic activity after 48 h (Fig. 7A). With some delay, the same effect was visible after supplementing the pitchers with *Nepenthes* leaf material (Fig. 7B). Although the latter finding might suggest a kind of ‘cannibalism’ in *Nepenthes*, this was not surprising because it is known that carnivorous plants

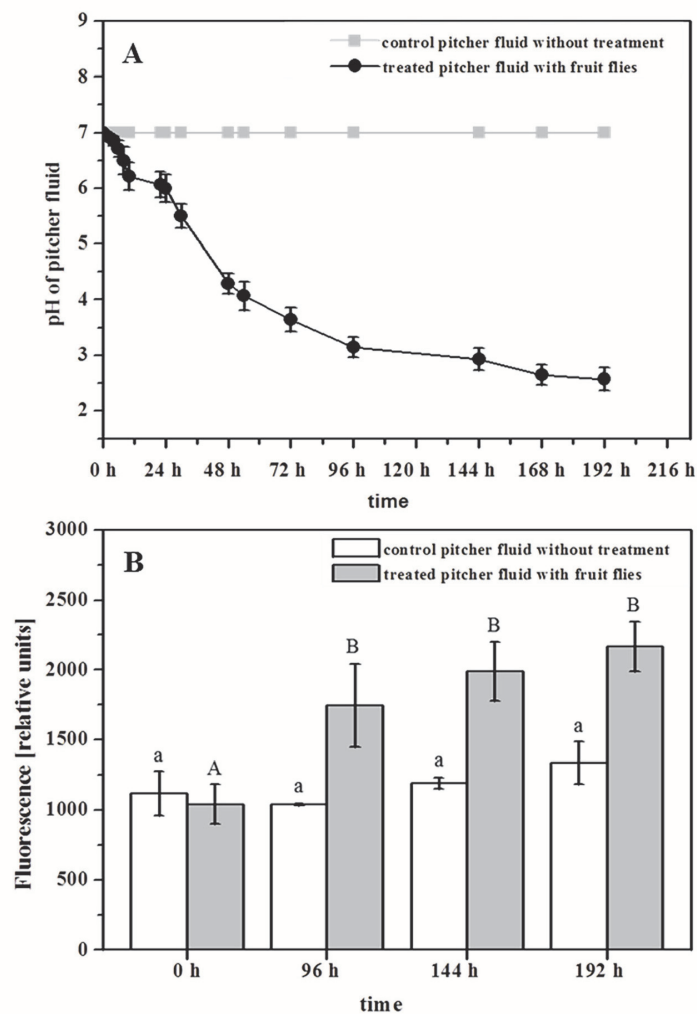


Fig 6. Influence of *Drosophila melanogaster* on pitcher fluid- pH and nepenthesin levels. Pitchers were supplemented with 40 fruit flies each. **A** The pitcher fluid pH was continuously determined at different time points until 192 h after treatment (black line); control without treatment (gray line). **B** Protease activity was measured before (0 h) and after the addition of fruit flies (96, 144, 192 h; gray bars) by using PFU-093 substrate for each sample. Measurement included 2 control pitchers (white bars). For **B** One-way ANOVA, $P < 0.05$, Post Doc Test *SNK*, was performed.

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actually take whatever they get for their nutrition. For example, *N. ampullaria* is specialized to capture leaf litter from the canopy above [34]. With any type of organism that falls in the trap all sources of nutrients become available for the plant and justify the induction of various hydrolyzing enzymes.

Recently, it has been shown for different carnivorous plant genera that defense-related phytohormones are involved in the trapping process, e.g. for *Drosera capensis* [35,36] as well as in the digestion process, e.g. in *D. muscipula* [37,38]. In order to follow up those studies, we investigated the effects of phytohormones in *Nepenthes* on protease activity. Interestingly, when

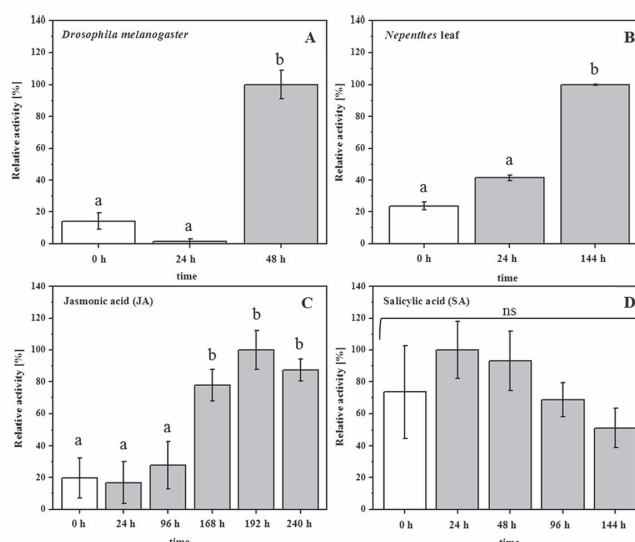


Fig 7. Induction of nepenthesin protease activity in *Nepenthes mirabilis* pitcher fluid. Proteolytic activity was measured with PFU-093 substrate at different time points after various treatments: **A** supplementation with *D. melanogaster* ($n = 3$); **B** supplementation with *Nepenthes* leaf ($n = 2$); **C** injection of jasmonic acid (200 μ M end concentration) ($n = 3$); **D** injection of salicylic acid (end concentration 200 μ M) ($n = 4$). Statistics employed one-way ANOVA, $P < 0.05$, Post Hoc Tests *SNK* (**A**, **C**, **D**), Dunnett T3 (**B**).

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phytohormones were added to the pitcher, only the addition of jasmonic acid (JA), not salicylic acid (SA), significantly increased the Nep- activities (Fig 7C and 7D). These results support the hypothesis that carnivory in plants might have evolved from defensive reactions against pathogens or herbivores [7,36].

Conclusion

Carnivorous plants of *Nepenthes*, unlike other plant carnivores, offer the possibility of working with sterile digestive fluids as long as the pitchers are closed or the open pitchers kept somewhat sterile by means of gauze. Here, a novel FRET-based method regarding *Nepenthes* plants was established, optimized and successfully applied by using the fluorogenic PFU-093 substrate for easy and rapid detection of protease activities in digestive fluids of *Nepenthes* species. The specificity of the substrate for aspartic proteases provides a means of unravelling the processes involved in prey digestion in carnivorous pitcher plants and possibly in other carnivorous plants. The ability to measure induced protease activity in pitcher fluids is much more reflecting the digestive process as quantifying transcripts of the corresponding genes.

Supporting Information

S1 File. Fig. A in S1 File. FRET-peptide,-method and putative cleavage sites. **a** Simplified structure of the artificial substrate PFU-093, according to Kaman et al. [19]. The substrate contains a fluorescein isothiocyanate (FITC) as fluorophore (F) and Lysin-Dabcyl (LysDbc) as its quencher (Q) connected by a two valine (Val) bridge. The close vicinity of Q to F quenches the fluorescence. **b** Putative proteolytic cleavage sites of PFU-093 substrate either between the two Val or between the last Val and the LysDbc, resulting in cleavage products: 1) FITC-Val, 2) Val-LysDbc, 3) LysDbc; **c** predicted molecular masses of these products: 1) 619.68 g/mol, 2)

496.28 g/mol and 3) 397.47 g/mol. **Fig. B in S1 File. Substrate/product fluorescence dependence on different pH values.** **a** Pitcher fluid was incubated with PFU-093 substrate and pure water at 42°C for 10 h. 50 µl each of this mixture were given in different wells and mixed with additional 50 µl of 30 mM buffer solutions to adjust the final pH (2, 4, 6, 8, 10, black dots), before fluorescence was measured. Control with water instead of buffer was included, representing the original fluorescence. Arrows indicate the pH-depending change of fluorescence. **b** Digestive fluid and PFU-093 substrate were incubated in 1 mM citrate buffer pH 4, for 10 h at 42°C. Subsequently, the mixture was split up and pH was adjusted by topping with either 30 mM phosphate buffer, pH 8, (dark grey bar) or 30 mM citrate buffer, pH 4, (striped) before fluorescence measurement. **Fig. C in S1 File. *N. mirabilis nepenthesin I, II (NmNepI/ II)* protein alignment compared to *N. gracilis (Ng)* and *N. alata (Na)* nepenthesin amino acid sequences.** The four levels of shading used are: *blue* > 80% sequence identity, *mid-blue* > 60% identity, *light blue* > 40% identity and *no shading* < 40%. Regions of predicted signal peptides and propeptides are named and the endings marked by a black stroke. Aspartic acid residues of the active center are indicated by a small black box and the flap tyrosine residue by a small green box, both above the sequences. The cysteine residues are represented through the colors: yellow, orange, green, light green, red and light red. The colored pairing of the residues show the disulphide bond arrangements in the primary structures of nepenthesin. **Fig. D in S1 File. Western blot of recombinant *N. mirabilis nepenthesin II*. *NmNepII/Sf9* was expressed in Sf9 insect cell line, using an anti-V5 horseradish peroxidase antibody and ECL for detection** Lanes represent 1) lysate, negative control, 2) culture medium, negative control, 3) lysate, positive control, 4) culture medium, positive control (CAT, catalase of 34 kDa); the blot also contains duplicates (clone 1 and 2) shown by the lanes 5) *NmNepII/Sf9*, clone 1, lysate, 6) *NmNepII/Sf9*, clone 1, culture medium, 7) *NmNepII/Sf9*, clone 2, lysate, 8) *NmNepII/Sf9*, clone 2, culture medium, all in comparison to the Sf9 cell line stably expressing *NmNepII/Sf9*, pointed out with 5 µl (lane 9) and 10 µl (lane 10), respectively. **Table A in S1 File. List of primer sequences used in this study.** (PDF)

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Author Contributions

Conceived and designed the experiments: FB AM. Performed the experiments: FB AY. Analyzed the data: FB AY AM. Contributed reagents/materials/analysis tools: WEK FJB. Wrote the paper: FB WEK FJB AY AM.

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3.2 Manuscript II

Slow food: insect prey and chitin induce phytohormone accumulation and gene expression in carnivorous *Nepenthes* plants

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• **Background and Aims** Carnivorous *Nepenthes* plants use modified leaves forming pitfall traps to capture and digest prey, mainly insects, for additional nutrient supply. These traps, so called pitchers, contain a plant-derived fluid composed of many hydrolytic enzymes and defence-related proteins. In this study, the prey-induced induction of corresponding genes of those proteins and a role for phytohormones in this process was analysed.

• **Methods** Tissue from insect prey-fed, chitin- and phytohormone-challenged pitchers was harvested and analysed for selected gene expressions by a quantitative PCR technique. Phytohormone levels were determined by LC-MS/MS. Nepenthesin proteolytic activities were measured in the digestive fluid using a fluorescence substrate.

• **Key Results** Insect prey in the pitchers induced the accumulation of phytohormones such as jasmonates as well as the transcription of studied genes encoding a chitinase 3 and a protease (nepenthesin I), whereas a defence-related protein (PR-1) gene was not induced. Treatment with chitin as a component of the insects' exoskeleton triggered the accumulation of jasmonates, the expression of nepenthesin I and chitinase 3 genes similar to jasmonic acid treatment, and induced protease activity in the fluid. All detectable responses were slowly induced.

• **Conclusions** The results suggest that upon insect prey catch a sequence of signals is initiated: (1) insect-derived chitin, (2) jasmonate as endogenous phytohormone signal, (3) the induction of digestive gene expression and (4) protein expression. This resembles a similar hierarchy of events as described from plant pathogen/herbivore interactions, supporting the idea that carnivory evolved from plant defences.

Key words: *Nepenthes*, insect prey, phytohormones, jasmonates, chitin, nepenthesin, carnivorous plant, gene induction.

INTRODUCTION

Carnivory in plants is an adaptation for nutrient-poor environments. Different species of carnivorous plants developed various strategies to obtain additional nutrients from caught insect prey, such as sticky flypaper traps in *Drosera* species or snap traps in *Dionaea muscipula* (Juniper *et al.*, 1989). Plants of the genus *Nepenthes* have so-called pitcher traps, representing metamorphosed leaves. Pitchers can be divided into three zones: at the top a peristome that is involved in attracting and trapping the prey; second a slippery waxy zone on the inner side of the pitchers that is involved in trapping and preventing prey escape; and finally, at the bottom the digestive zone which is covered inside with bifunctional glands and contains a digestive fluid. On the one hand, the bifunctional glands secrete hydrolytic enzymes into the fluid and on the other hand they take up the nutrients which are generated by prey digestion in the digestive fluid (Mithöfer, 2011).

There has been a long history of interest in the function and composition of digestive pitcher fluids and some pioneer suggestions about their hydrolytic properties were reported by Charles Darwin (Darwin, 1875). Various hydrolytic proteins have been identified meanwhile, for some of which their corresponding genes were cloned, heterologously expressed and

further characterized (Mithöfer, 2011). The most prominent proteins in the pitcher fluid of *Nepenthes* are two pepsin-related aspartic proteases, nepenthesin I and II, which cleave peptides at the carboxyl side of aspartic acid residues. Nepenthesins were purified, cloned and biochemically characterized from different *Nepenthes* species (An *et al.*, 2002; Athauda *et al.*, 2004; Takahashi, 2007; Buch *et al.*, 2015). These proteins exhibit stable enzymatic activity up to 60 °C and low pH, which enables the use of nepenthesins for technical applications (Kadek *et al.*, 2014). Besides enzymes with hydrolytic activities, some proteins found in the pitcher fluids of *Nepenthes* are known to have antimicrobial properties, such as thaumatin-like protein (Rottloff *et al.*, 2009) or the pathogenesis-related protein-1 (PR-1) (Buch *et al.*, 2014). These two examples represent typical pathogenesis-related (PR) proteins with well-known function in plant defence reactions against microbial pathogen attack (van Loon *et al.*, 2006). Strikingly, many of the hydrolytic enzymes in the pitcher fluid are classified as PR proteins as well, for instance chitinases, proteases and glucanases, suggesting that carnivory in plants has evolved from existing defensive reactions.

In recent years, most studies on *Nepenthes* pitcher fluid have focused on elucidation of the protein composition and they contributed significantly to our knowledge and understanding of

prey digestion in these carnivorous plants. However, our knowledge of the molecular regulation of protein secretion in *Nepenthes* remains limited.

Given that most of the identified pitcher fluid proteins belong to one of the PR protein families (Mithöfer, 2011), it is tempting to speculate that their gene expressions might be initiated by signals derived from caught prey. Furthermore, the endogenous regulation might be mediated by defence-related phytohormones such as jasmonates [jasmonic acid, JA; jasmonic acid–isoleucin conjugate, JA-Ile; *cis*-(+)-12-oxophytodienoic acid, *cis*-OPDA] and salicylic acid (SA), similar to the situation in which PR-protein-encoding genes are up-regulated upon pathogen attack. Based on this hypothesis, in this study we investigated the induction of gene expression of selected PR proteins upon insect prey treatment and the role of related phytohormones.

MATERIAL AND METHODS

Plant material and treatment

Nepenthes alata Blanco plants were grown in growth chambers at 20–25 °C, 80–85 % humidity, with a 16-h light/8-h dark photoperiod. To avoid any contamination, 2-week-old and still closed pitchers were used for chitin and phytohormone injections as closed pitcher fluid is known to be free from microbes (Buch *et al.*, 2013). One milligram of colloidal chitin in 500 µL water or only water as a control was injected into the pitcher using sterile pipette tips. For phytohormone-induced gene expression experiments, JA (200 µM final concentrations in the pitcher fluid) or water for controls was injected. For fruit fly (*Drosophila melanogaster*) treatment pitchers were covered with gauze when they were still closed. Covered pitchers were fed with wild-type *D. melanogaster* after 2 weeks of pitcher opening according to Buch *et al.* (2015). In all experiments where phytohormones or gene inductions were analysed in the pitcher tissue, an individual pitcher (biological replicate) was used for one measurement. At least three biological replicates, depending on the availability of utilizable pitchers, were taken for one data point. To analyse JA-Ile directly in the pitcher fluid, 100 µL of pitcher fluid was taken out for each time point (0, 2, 4, 6, 8, 10, 24, 30, 48, and 72 h) after fruit fly treatment. The removed volume was replaced with 25 mM KCl, according to Buch *et al.* (2013).

Expression analysis by real-time PCR

Tissue from the digestive zone of the pitcher was harvested and frozen in liquid nitrogen and stored in –80 °C until used. For analysis, frozen plant material was homogenized for 40 s at 1200 r.p.m. in a Genogrinder 2010 (Spex Sample Prep, Stanmore, UK). Total RNA was isolated using an Invitrap Spin Plant RNA Mini kit (STRATEC Molecular, Berlin, Germany) according to the manufacturer's protocol. First-strand cDNA synthesis was performed using Superscript III reverse transcriptase (Invitrogen, Karlsruhe, Germany) by using Oligo (dT)12–18 and according to the manufacturer's protocol. For expression analysis by real-time PCR, actin was used as a housekeeping gene by using the following primers: forward primer,

5'-CTCTTAACCCCAAAGCAAACAGG-3'; and reverse primer, 3'-GTGAGAGAACAGCCTGGATG-5'. *Nepenthes alata* aspartic protease nepenthesin, *Nep I* (forward primer, 5'-CGGGCAAAACTTAACCAAA-3'; reverse primer, 3'-ATCCA TGATTGCGGAGAAAAG-5'); *N. alata* class 3 chitinase, *Chit3* (forward primer, 5'-GCTCCGGCATAGCAGTCTAC-3'; and reverse primer, 3'-CTTGGTTTTGGCATGAGGTT-5'); *N. mirabilis* pathogenesis-related protein-1, *PR-1* (forward primer, 5'-GCCATTGGTATCATCCAACC-3'; and reverse primer, 3'-AGAGCATAAGCCGCAACAGT-5'). Real-time PCR for gene expression analysis was performed in 96-well plates on a Bio-Rad CFX96 Touch Real-Time PCR Detection System (Bio-Rad, Hercules, CA, USA) by using Brilliant II QPCR SYBR green Mix (Agilent, Böblingen, Germany). In total, 60 ng cDNA was used for each sample with a total reaction volume of 25 µL. Obtained mRNA levels of the gene of interest were normalized with respect to the actin mRNA level of each sample, according to Rottloff *et al.* (2011). Calculations of expression ratios of target genes were related to the mRNA level of target genes in control tissue, which were defined as 1.0; this calculation was done according to Pfaffl (2001). To ensure that gene expression levels were comparable at all different time points, the particular Δ ct values for the controls of each target gene related to actin gene expression were determined. Only sets of experiments with an s.d. of ≤ 5 % were used for further calculations. All assays were run at least in independent triplicates (biological replications), each biological replicate consisting of three technical replicates.

Nepenthesin protease activity measurement in pitcher fluid

Proteolytic nepenthesin activity in the pitcher fluid was measured by using the specific fluorescence resonance energy transfer-based substrate PFU-093, as described (Kaman *et al.*, 2011; Buch *et al.*, 2015). Briefly, 50 µL of control or induced pitcher fluid, 39 µL water and 1 µL of 80 µM fluorescence substrate PFU-093 were mixed in black 96-well microtitre plates (Greiner Bio-one GmbH, Frickenhausen, Germany). Plates were incubated for 5 h at room temperature. After incubation, 10 µL of 100 mM Tris-HCl buffer, pH 8.5, was added to the mixture before measurement. Fluorescence of cleaved substrate was measured, at 42 °C using a microplate reader (Tecan infinite M200, Männedorf, Switzerland) with excitation/emission wavelength of 485/530 nm, respectively.

Quantification of phytohormones

Phytohormone analyses were carried out according to Vadassery *et al.* (2012) with some modifications. Thus, harvested plant material (digestive zone of the pitcher) was frozen with liquid nitrogen and stored at –80 °C until used. For analysis plant material was finely ground in liquid nitrogen and weighed. Ground plant material (250 mg) was extracted with 1.5 mL of methanol containing internal standard: 60 ng of D₆-jasmonic acid (HPC Standards GmbH, Cunnorsdorf, Germany), 60 ng D₄-salicylic acid (Sigma-Aldrich, St Louis, MO, USA) and 12 ng of JA-¹³C₆-isoleucine conjugate which was synthesized as described by Kramell *et al.* (1988). The homogenate was mixed for 30 min, centrifuged at 14000 r.p.m. for 10 min at

4 °C, subsequently re-extracted with 500 µL methanol and both supernatants were pooled. The combined extract was dried, re-dissolved in 500 µL methanol and used for chromatography. For analysis of pitcher fluid, the fluid was spiked with 2 ng mL⁻¹ of fluid for JA-¹³C₆-isoleucine conjugate and used for chromatography. Separation was performed on an Agilent 1200 HPLC system (Agilent Technologies, Santa Clara, CA, USA) equipped with a Zorbax Eclipse XDB-C18 column (50 × 4.6 mm, 1.8 µm; Agilent). Formic acid (0.05 %) in water and acetonitrile were employed as mobile phases A and B, respectively. The elution profile was: 0–0.5 min, 5 % B; 0.5–9.5 min, 5–42 % B; 9.5–9.51 min, 42–100 % B; 9.51–12 min, 100 % B; and 12.1–15 min, 5 % B keeping a flow rate of 1.1 mL min⁻¹. Column temperature was maintained at 25 °C. An API 5000 tandem mass spectrometer (Applied Biosystems, Foster City, CA, USA) equipped with a Turbospray ion source was operated in negative ionization mode. Ion spray voltage was maintained at –4500 eV, turbo gas temperature was set at 700 °C, nebulizing gas was set at 60 p.s.i., curtain gas at 25 p.s.i., heating gas at 60 p.s.i. and collision gas at 7 p.s.i. To monitor analyte parent ion → product ion, multiple reaction monitoring (MRM) was used: *m/z* 209.1 → 59.0 (collision energy (CE) – 24 V; declustering potential (DP) – 35 V) for JA; *m/z* 215.1 → 59.0 (CE – 24 V; DP – 35 V) for D₆-JA; *m/z* 136.9 → 93.0 (CE – 22 V; DP – 35 V) for SA; *m/z* 140.9 → 97.0 (CE – 22 V; DP – 35 V) for D₄-SA; *m/z* 290.9 → 165.1 (CE – 24 V; DP – 45 V) for *cis*-OPDA; *m/z* 322.2 → 130.1 (CE – 30 V; DP – 50 V) for JA-Ile conjugate; *m/z* 328.2 → 136.1 (CE – 30 V; DP – 50 V) for JA-¹³C₆-Ile conjugate. Both Q1 and Q3 quadrupoles were maintained at unit resolution. Analyst 1.5 software (Applied Biosystems) was used for data acquisition and processing. Phytohormones were quantified relative to the signal of their corresponding internal standard. For quantification of *cis*-OPDA, D₆-JA was used as the internal standard applying an experimentally determined response factor of 0.5.

RESULTS AND DISCUSSION

Fruit-fly-induced PR gene expressions and phytohormone accumulation

Carnivorous plants of the genus *Nepenthes* digest insect prey in the pitcher trap fluid to supplement their nutrient demand. The pitcher fluid is present in the bottom part of the trap and its composition is supplied by secretion processes via specific glands. To study insect prey-induced gene expression in the pitcher tissue, three corresponding genes of well-characterized proteins from the pitcher fluid were chosen, namely nepenthesin I (Nep I; Buch *et al.*, 2015), class III chitinase (Chit3; Hatano and Hamada, 2012) and pathogenesis-related protein 1 (PR-1; Buch *et al.*, 2014). Gene expression of the aspartic protease *Nep I* was slightly but significantly upregulated after 12 and 24 h and strongly after 48 h of fruit fly treatment compared with the control. *Chit3* was also slightly upregulated after 24 h and strongly after 48 h. In contrast, *PR-1* gene expression did not change (Fig. 1). Because fruit flies represent insect prey, the result obtained can be explained by the fact that both upregulated genes represent enzymes involved in prey digestion. PR-1, by contrast, has no enzymatic but antimicrobial activity (Buch *et al.*, 2014), which might not be necessary to establish

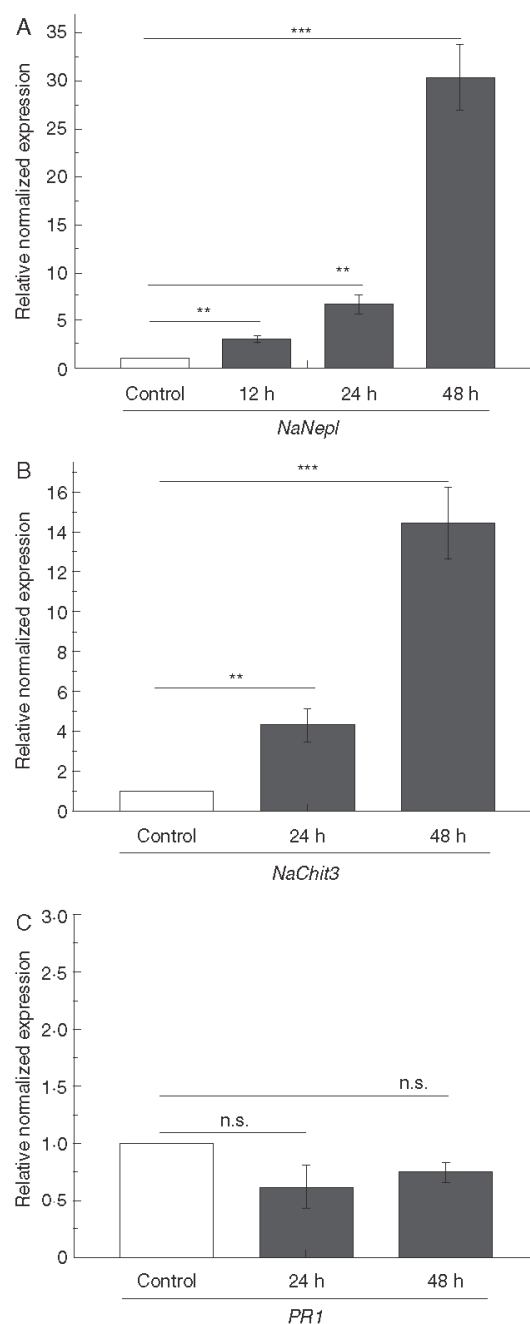


Fig. 1. Fruit fly-induced PR gene expression in *N. alata* pitchers. Pitchers were fed with 60 mg fruit flies and mean expression (\pm s.e.; *n* = 3–5) of selected PR genes was analysed. *NepI* (A) expression was analysed after 12, 24 and 48 h; *Chit3* (B) and *PR-1* (C) were analysed after 24 and 48 h both in untreated (white) and treated (grey) pitchers. Statistically significant differences between control and treated pitchers were analysed by Student's *t*-test for each gene separately. Gene expression for control was set as 1.0; n.s.: not significant, ***P* < 0.01, ****P* < 0.001.

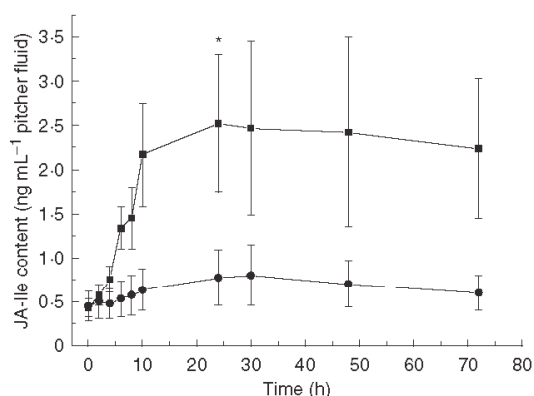


Fig. 2. JA-Ile accumulation in fruit fly-fed pitcher fluids of *N. alata*. Pitchers were fed with 60 mg fruit flies and the level of JA-Ile was analysed after addition of fruit flies at different time points in pitcher fluids. Mean JA-Ile content (\pm s.e.) was analysed in both control (\circ) ($n = 3$) and fruit fly-treated (\blacksquare) ($n = 7$) pitchers. Statistically significant differences between control and treated pitchers were analysed for each time point by Student's *t*-test separately; * $P < 0.05$.

at this time point. Furthermore, the result found for *Nep I* corresponds well to a result that was recently published (Buch et al., 2015), in which the authors showed that after 48 h the enzymatic nepenthesin I activity in the pitcher fluid was strongly increased when fed with fruit flies. In the same study, they showed that nepenthesin I activity was induced by JA treatment alone, but only after several days.

Recently it has been suggested for different carnivorous plants that phytohormones are involved in the digestion process, for example in *Drosera capensis* (Nakamura et al., 2013) or Venus flytrap (*Dionaea muscipula*). In the latter case the application of JA induced the abundance of a cysteine protease, dionain, and increased proteolytic activity in the digestive fluid (Libiakova et al., 2014). Escalante-Perez et al. (2011) described the involvement of *cis*-OPDA in the induction of digestive fluid secretion in *D. muscipula*. Those results also strongly suggest a role for phytohormones in the fruit fly-induced process of gene inductions in *Nepenthes*. To prove this hypothesis, the level of the biologically most active jasmonate, JA-Ile, was first determined in the pitcher fluid of *N. alata* at various time points after *D. melanogaster* treatment (Fig. 2). Such an experiment was necessary to gain insight into the kinetics of jasmonate accumulation induced by caught prey. As shown in Fig. 2, when insect prey was added, JA-Ile concentration increased continuously up to 24 h and stayed at that level, indicating a relative slow phytohormone response. One advantage of this experiment was that the samples could be harvested continuously from the pitchers, showing the dynamics of the system; a disadvantage was that phytohormones are generated and active in the plant tissue. However, using one pitcher for one measurement for several biological replicates and numerous time points is simply not feasible as the number of developmentally comparable pitchers is limited. Therefore, the results obtained from the pitcher fluid experiment provided valuable data and the basis for subsequent experiments for the analysis of different phytohormones in the tissue at defined time points. After fruit fly treatment, jasmonates, i.e. JA and JA-Ile as well as their biosynthetic precursor,

cis-OPDA, significantly accumulated over time in pitcher tissue (Fig. 3). Also, the SA level was found to be significantly higher after 48 h of fruit fly treatment [0 h: 2.3 ± 0.5 ng SA (g f. wt) $^{-1}$; 48 h: 8.5 ± 1.9 ng SA (g f. wt) $^{-1}$; $P < 0.005$, Student's *t*-test]. This indicates that prey catch and the subsequently digestion in *Nepenthes* is very likely a phytohormone-mediated process, depending at least on jasmonates.

Chitin-induced PR gene expression and phytohormone accumulation

To further investigate whether a certain signal derived from insect prey might be sufficient to induce gene expression and phytohormone accumulation in *Nepenthes*, chitin as a major component of the insects' exoskeleton was chosen to mimic caught prey. It has already been reported that in *N. khasiana* pitchers chitin can induce the accumulation of naphthoquinones (Eilenberg et al., 2010; Raj et al., 2011) as well as gene expression of chitinases, e.g. type *NkChit1b* (Eilenberg et al., 2006; Hatano and Hamada, 2012). Interestingly, compared with the non-treated control, chitin-induced *Nep I* gene expression was determined after 24 h; for *Chit3* and *PR-I* only a trend in the same direction was detected (Fig. 4). After 48 h the situation was different. *Nep I* gene expression was down-regulated to control level but *Chit3* transcripts were found to be upregulated; again, *PR-I* showed no obvious differences compared with the non-treated control pitchers (Fig. 4). For *PR-I* and *Chit3* the situation after 48 h resembles the situation found after fruit fly treatment (Fig. 1), suggesting that chitin very likely represents at least one of probably more insect prey-derived signalling compounds that is involved in the induction of downstream events in *Nepenthes* necessary to initiate the digestion process. However, for *Nep I* the situation is different. Here, after 48 h challenge with chitin, gene expression is already down again whereas upon fruit fly treatment a high level of transcripts was found. This situation can be explained by the fact that after chitin application this particular signal molecule is present in relatively high concentrations, can act directly and must not be released from the prey. Therefore, it might act faster. Moreover, the much higher induction of *Nep I* after 48 h upon challenge with *D. melanogaster* (Fig. 1A) could be due to additional signals that are absent upon sole chitin treatment but might be released slowly from the whole insect prey. Interestingly, when the pitchers were treated with JA (200 μ M), a weak *Nep I* gene induction (1.5-fold; $P < 0.05$, Student's *t*-test) was determined only after 24 h, whereas the *Chit3* gene was found to be induced (1.6-fold; $P < 0.05$, Student's *t*-test) only after 48 h, comparable to the induction pattern upon chitin treatment. Together, these results suggest different gene regulation mechanisms among the three selected genes.

Based on the former results it was tempting to speculate that chitin can also induce phytohormone accumulation. This was investigated again in comparison with non-treated control pitchers. After 24 h, JA and *cis*-OPDA only showed a trend to higher concentrations but after 48 h the level of both compounds was significantly enhanced. JA-Ile showed significantly higher concentrations after 24 h and to the same extent after 48 h (Fig. 5B). Strikingly, the basic concentrations of *cis*-OPDA and JA-Ile, in the latter case less pronounced, were about 1.5- to

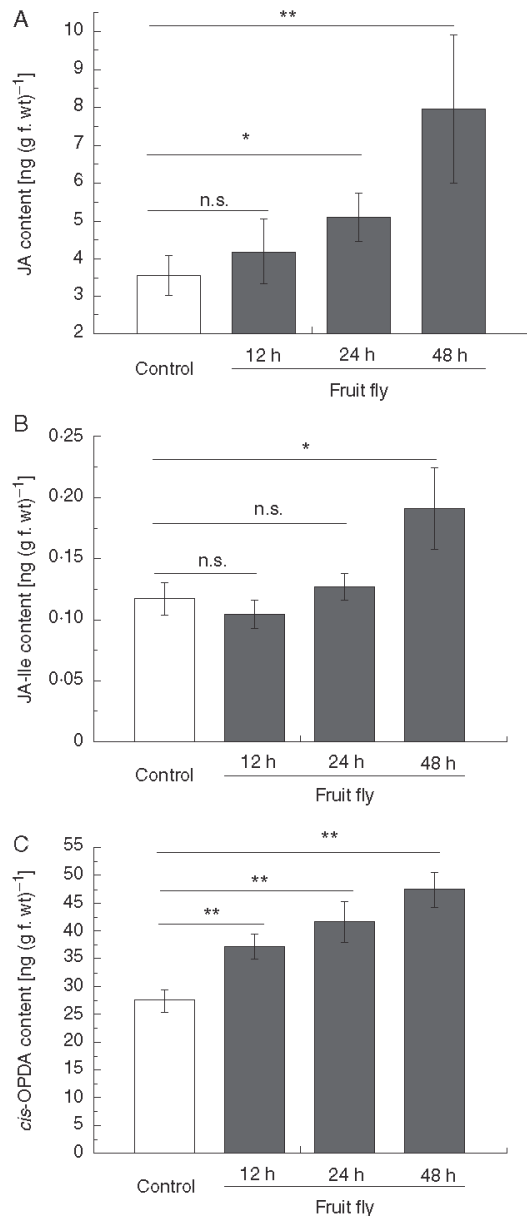


FIG. 3. Phytohormone accumulation in fruit fly-fed pitchers of *N. alata*. Pitchers were fed with 60 mg fruit flies and endogenous phytohormone levels of JA (A), JA-Ile (B) and cis-OPDA (C) were analysed after 12, 24 and 48 h in pitcher tissue. Mean phytohormone content (\pm s.e.; $n = 3-5$) was analysed in both untreated control (white) and treated (grey) pitchers. Statistically significant differences between control and treated pitchers were analysed by Student's *t*-test for each hormone separately; n.s.: not significant, * $P < 0.05$, ** $P < 0.01$.

2-fold higher in the experiments with chitin treatment (Fig. 5) compared with the fruit fly experiments (Fig. 3). This is probably due to slightly different developmental stages of the pitchers. Whereas the pitchers for fruit fly feeding need to be open,

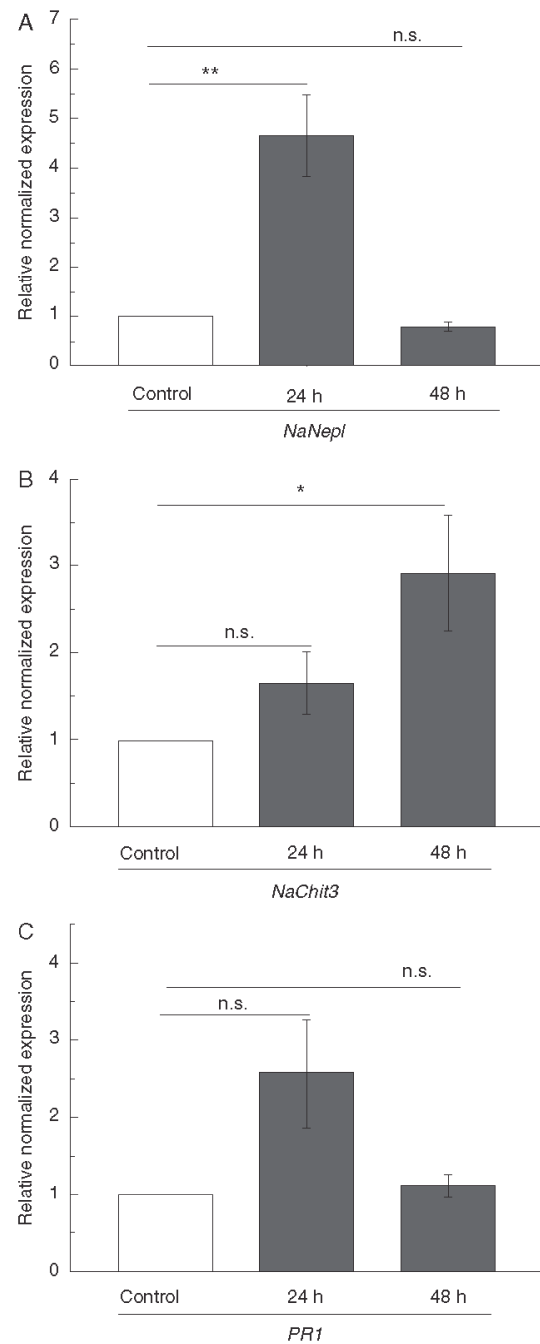


FIG. 4. Chitin-induced PR gene expression in pitcher tissue of *N. alata*. Pitchers were treated with chitin and mean expression (\pm s.e.; $n = 3$) of selected PR genes *Nepl* (A), *Chit3* (B) and *PR1* (C) was analysed after 24 and 48 h in both untreated (white) and treated (grey) pitchers. Statistically significant differences between control and treated pitchers were analysed by Student's *t*-test for each gene separately. Gene expression for control was set as 1.0; n.s.: not significant, * $P < 0.05$, ** $P < 0.01$.

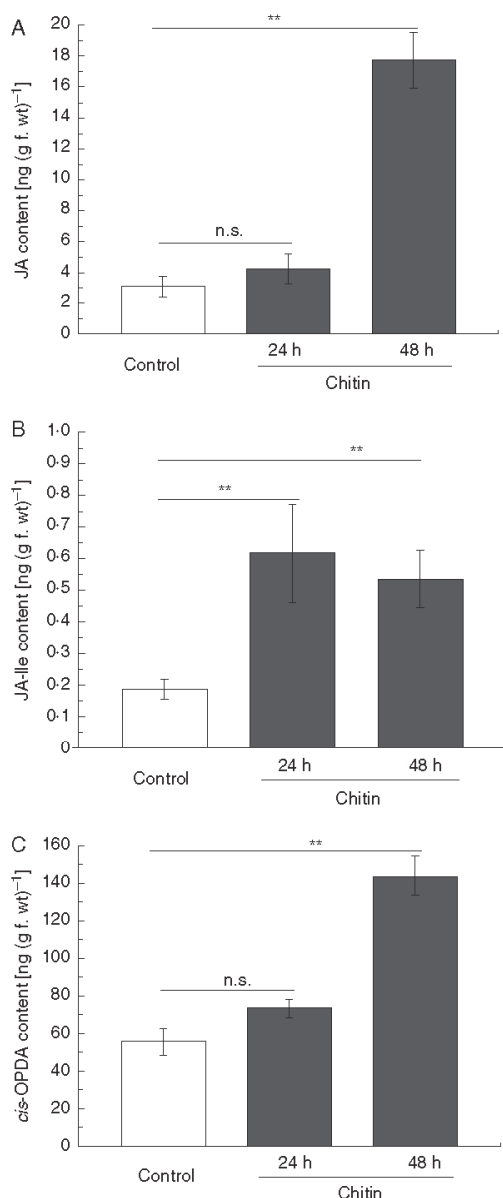


Fig. 5. Jasmonate induction in chitin-treated pitcher tissue of *N. alata*. Pitchers were treated with chitin and endogenous phytohormone levels of JA (A), JA-Ile (B) and *cis*-OPDA (C) were analysed after 24 and 48 h in pitcher tissue. Mean phytohormone content (\pm s.e.; $n = 3-5$) was analysed in both control (white) and treated (grey) pitchers. Statistically significant differences between control and treated pitchers were analysed by Student's *t*-test separately; n.s.: not significant, $**P < 0.01$.

for chitin treatment unopen pitchers were used to avoid any contamination. However, the corresponding controls were always performed to see the difference between non-treated and treated pitchers. SA concentrations did not show any changes

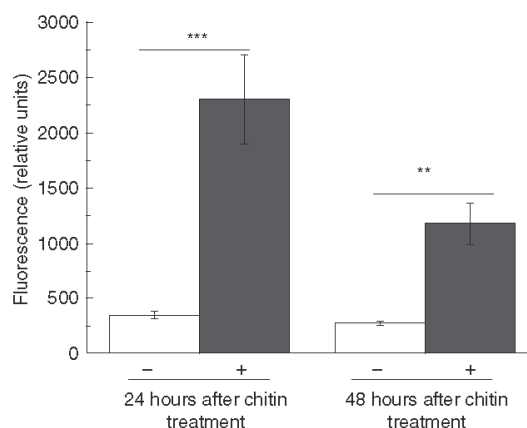


Fig. 6. Chitin-induced nepenthesin activity in the pitcher fluid of *N. alata*. Pitchers were treated with chitin and nepenthesin protease activity in the pitcher fluid was measured by using a fluorescence substrate (PFU-093) at 24 and 48 h after treatment. Mean relative fluorescence (\pm s.e.; $n = 3-4$) was analysed in both control (white) and treated (grey) pitchers. Statistically significant differences between control and treated pitchers were analysed by Student's *t*-test; $**P < 0.01$, $***P < 0.001$.

after 24 h [0 h: 3.8 ± 0.6 ng SA (g f. wt)⁻¹; 24 h: 3.8 ± 0.7 ng SA (g f. wt)⁻¹; not significant, Student's *t*-test] but were found nearly doubled after 2 d of chitin treatment (48 h: 7.1 ± 0.8 ng SA (g f. wt)⁻¹; $P < 0.01$, Student's *t*-test).

Chitin-induced nepenthesin activity

Gene expression analyses provided evidence that the encoded proteins and corresponding enzymatic activities are probably upregulated as well; in fact, however, this had to be proven. Thus, the proteolytic activity of Nep 1 was exemplarily measured in the digestive fluid upon chitin treatment of the pitchers. Indeed, after 24 and 48 h, significantly higher enzymatic activities were detected compared with the non-treated control pitchers (Fig. 6). Strikingly, again establishment of the enzymatic activity was faster upon chitin than fruit fly treatment (Buch *et al.*, 2015), resembling and supporting the results obtained for gene expression.

CONCLUSIONS

The results presented here support the hypothesis that plant carnivory evolved from plant-herbivore interactions, in particular employing elements of plant defence against herbivorous insects. This includes jasmonates as endogenous signalling molecules, such as JA and JA-Ile, as well as preceding recognition of a prey-related signal. In the case of caught insects in *Nepenthes*, chitin represents such a signal. The prey-initiated signalling cascade eventually leads to the induction of genes encoding enzymes involved in prey digestion but also classified as PR proteins, participating in plant defence. Thus, another tessera is provided suggesting that the origin of carnivory in plants developed from plant defences. Strikingly, insect-induced phytohormone accumulations, gene expression and enzymatic

activities are detectable only with delay, if compared with insect attack-induced defence responses. This suggests that the onset of digestion in carnivorous *Nepenthes* plants is a comparatively slow process, perhaps because the prey is already caught and there is no need for the plant to hurry.

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3.3 Manuscript III

Coprophagous features in carnivorous *Nepenthes* plants: a task for ureases

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Abstract

Most terrestrial carnivorous plants are specialized on insect prey digestion to obtain additional nutrients. Few species of the genus *Nepenthes* developed mutualistic relationships with mammals for nitrogen supplementation. In a cooperative interaction between *N. hemsleyana* and a bat, *Kerivoula hardwickii*, plant pitchers are used as roost, thereby the bat's excrement serves as nitrogen source, very likely by providing urea. However, enzymatic hydrolysis is necessary to further metabolize and use urea. Here, we show the presence and enzymatic activity of a urease for *Nepenthes* plant tissues. With ¹⁵N-enriched urea provided to *Nepenthes* pitchers we demonstrate that urea is metabolized and its nitrogen is distributed within the plant. The corresponding

31 urease cDNA from *N. hemsleyana* was isolated and functionally expressed. A
32 comprehensive phylogenetic analysis for eukaryotic ureases, including *Nepenthes* and
33 five other carnivorous plants' taxa, identified them as canonical ureases and reflects
34 the plant phylogeny. Hence, this study reveals ureases as an emblematic example for
35 an efficient but high adaptive plasticity in plants while developing a further specialized
36 lifestyle from carnivory to coprophagy.

37

38

39 A striking feature of plants is the ability to adapt with high flexibility to completely
40 different ecological environments and to survive even in extreme habitats. Some
41 plants that live in nutrient poor environments evolved carnivory to obtain nitrogen,
42 phosphorus and minerals from animals. Various trapping mechanisms exist in
43 carnivorous plants such as pitfall traps (*Nepenthes* spp.), adhesive traps (*Drosera*
44 spp.), snap traps (*Dionaea muscipula*), corkscrew traps (*Genlisea* spp.), and suction
45 traps (*Utricularia* spp.) supporting a broad spectrum of prey selection¹. In all cases, the
46 different traps derived from metamorphosis of leaves forming new adaptive organs¹.
47 Carnivorous plants attracted attention of scientists for centuries, including Charles
48 Darwin, who already in 1875 reported pioneer suggestions on plant carnivory². In
49 recent years, proteomic and molecular approaches provided many new insights into
50 plant carnivory^{3, 4, 5, 6, 7, 8}. The more we learn about carnivory in plants the more we
51 realize that its basis relies on the plants' ability to defend themselves against attackers
52 such as herbivorous insects or microbes. In a simplified view we may state that
53 carnivorous plants use already existing pathways and strategies, from signaling to
54 hydrolytic, defense-related enzymes, and transferred these mechanisms into a
55 different ecological context, i.e. carnivory (e.g. in *Dionaea*³; *Drosera*^{6, 7}; *Nepenthes*^{5, 8},
56 *Cephalotus*⁴).

57 A taxon of carnivorous plants Darwin never worked with is the genus *Nepenthes*. This
58 genus contains more than 120 species; all of which possess the so-called pitchers,
59 which are part of a metamorphosed leaf and filled with a digestive fluid. Pitcher traps
60 provide insect prey capturing and processing, and uptake of animal-derived nutrients
61 for most of the *Nepenthes* species. However, very few out of the many species of
62 carnivorous *Nepenthes* plants further developed alternative strategies based on

63 interactions with mammals. In a mutualistic relationship between *Nepenthes lowii* and
64 a mountain shrew, *Tupaia montana*, the plants benefit from nitrogen in the animal's
65 feces⁹. A similar situation has been described for *Nepenthes hemsleyana* where
66 roosting bats, *Kerivoula hardwickii*, defecate into the pitcher^{10, 11}. Thus, the visiting
67 activity of mutualistic mammalian partners significantly increased foliar nitrogen
68 content in the host plants, *N. hemsleyana* and *N. lowii*^{9, 10, 11}. However, the precise
69 origin of the nitrogen and the biochemical background these plants use to harvest the
70 external nitrogen trapped inside the pitchers remains unclear, so far.

71 Here we demonstrate that *Nepenthes* pitchers are able to absorb and use the main
72 component of bat excrement, urea. A urease is involved as a key enzyme in urea
73 degradation thereby releasing nitrogen in the form of ammonia. From two *Nepenthes*
74 species the respective urease gene was cloned and the one from *N. hemsleyana* was
75 functionally expressed. Ureases from *Nepenthes* and five other carnivorous plants
76 from different genera are phylogenetically compared to ureases from non-carnivorous
77 plants and fungi. The results suggest that for carnivorous plants it was not necessary
78 to develop a specific urease for establishing a coprophagous lifestyle in *Nepenthes* but
79 to recruit a reliable and available enzyme into an adapted nutritional context.

80

81

82 **Results**

83 **Urea Uptake and Metabolism in *Nepenthes***

84 Nitrogen-containing urea is a metabolic waste product of terrestrial vertebrate animals
85 and removed from the body in form of urine¹². More than 70% of bats' urine dry weight
86 consists of urea¹³. Thus, in the mutualism between bats and *Nepenthes*, the pitcher
87 plant is provided with high amounts of urea^{10, 11}. The urea-derived nitrogen is
88 suggested to enter nitrogen metabolism in *Nepenthes* to drive growth and
89 development¹¹. To trace urea uptake and metabolism, ¹⁵N-enriched urea was fed to
90 pitchers of *N. alata*, a close but carnivorous relative of *N. hemsleyana*, and the
91 distribution of ¹⁵N was analyzed by isotope ratio mass spectrometry (IRMS). Upon ¹⁵N-
92 enriched urea application directly into the pitcher fluid, a slow time dependent increase
93 of ¹⁵N was measured in the leaf-base of the treated pitcher starting after 96 h (Fig. 1A).

94 An increase of ^{15}N was detectable for old as well as for young leaves sitting at the
 95 same branch of the plant (Fig. 1B). The fact that developing leaves received more ^{15}N
 96 nitrogen compared to older leaves suggests that nitrogen is preferentially directed
 97 towards developing sinks¹⁴ (Fig. 1B). These data document that *Nepenthes* is able to
 98 take up urea from the pitcher and to allocate this feces-derived nitrogen to non-pitcher
 99 tissues^{9, 10}. In plants, nitrogen released from urea hydrolysis into ammonia and CO_2 is
 100 subsequently incorporated into macromolecules. In line with this assumption, protein
 101 extracts from leaves in the same branch as the ^{15}N -enriched urea-fed pitcher exhibited
 102 higher $^{15}\text{N}/^{14}\text{N}$ ratios (Fig. 1C).

103

104 **Cloning, Phylogeny and Expression of Urease from *Nepenthes***

105 Using a polyclonal jackbean urease antiserum for immunoblotting, in crude protein
 106 extracts from leaf and pitcher tissues of both *N. hemsleyana* and *N. alata* a cross-
 107 reacting protein was detected (Fig. 2A). Enzymatic urease activity, however, was not
 108 detectable in the pitcher fluid but measurable only in leaves extracts from *N.*
 109 *hemsleyana* ($35 \text{ nmol NH}_3 \text{ min}^{-1} \text{ g fw}^{-1}$) and *N. alata* ($20 \text{ nmol NH}_3 \text{ min}^{-1} \text{ g fw}^{-1}$).

110 Based on the sequences of plant urease genes, degenerate primers were designed
 111 and used for a PCR approach in *N. alata* and *N. hemsleyana*. The two full-length
 112 coding sequences for the urease genes from both *Nepenthes* species were cloned
 113 (each 2,514 bp long). They encode a single polypeptide chain with the basic
 114 characteristics of known plant ureases such as an active site that includes a nickel
 115 binding site and a jaburetox domain¹⁵ (Fig. 2B). Both deduced protein sequences
 116 consist of 837 amino acids (predicted molecular mass of the proteins: *N. hemslyana*
 117 90.0 kDa and *N. alata* 89.6 kDa). Sequence comparison of *Nepenthes* ureases with
 118 those from other sources indicates highly conserved amino acid residues in their
 119 catalytic sites (Supplementary Fig 1). When compared with the well-studied and
 120 crystallized *Canavalia ensiformis* urease (jackbean urease, JBU)¹⁶, the catalytic-site
 121 residues in *Nepenthes* ureases are as follows (*Nepenthes/Canavalia*): H404/407,
 122 H406/409, K487/490, H489/492, D491/494, H516/519, H542/545, C589/592,
 123 H590/593, R606/609, D630/633, and A633/636. The active sites consist of a bi-nickel
 124 center coordinating two nickel ions. Typically, K487/490 can be carbamylated and acts
 125 as a bridging residue between the two nickel ions¹⁶. In all sequences of carnivorous

126 and non-carnivorous plants investigated here this Lysine is present; therefore the
127 catalytic properties of all ureases are assumable. The amino acid residues that are
128 involved in the architecture of the active site build part of a mobile flap, which acts as a
129 gate for the substrate. In JBU, this mobile flap ranges from amino acids M590 to
130 H607¹⁶. In *Nepenthes* ureases the corresponding region is also present and spans
131 from M587 to E604 including an essential C589 (C592 in JBU) residue (Fig. 2B).
132 Exactly in this region one amino acid, in *Nepenthes* D595, was found only in all seven
133 carnivorous plants included in this study, but was absent in the two legume species
134 studied and in *Arabidopsis*. In total, the urease sequences show seven of such
135 convergent carnivorous plant-specific amino acid substitutions (Fig. 2B;
136 Supplementary Fig. 1). Ten further amino acid changes were found to be unique for
137 the two *Nepenthes* species (Fig. 2B; Supplementary Fig. 1).

138 The whole amino acid sequences of the carnivorous *N. alata* and the coprophagus *N.*
139 *hemsleyana* ureases show more than 97% sequence identity at the amino acid level
140 (Supplementary Table 1). In addition, the amino acid sequences of the two *Nepenthes*
141 ureases share ≥86% similarity and ≥75% identity to ureases from other carnivorous
142 plants and even to non-carnivorous plants such as *Glycine max* and *Canavalia*
143 *ensiformis* (Supplementary Table 1). Within carnivorous plants only the urease of the
144 endemic species *Genlisea aurea* is slightly different and shows less identity to the
145 other ureases analyzed (Supplementary Table 1). If compared with the three non-
146 carnivorous species, the urease sequence identity is by tend a bit lower as within the
147 carnivorous plants, with the exception of *Cephalotus follicularis*.

148 In order to demonstrate the functionality of the cloned urease of *N. hemsleyana*,
149 designated as *NhUrease*, it was transiently expressed in *Nicotiana benthamiana*, a
150 system that has a weak urease background and that was successfully used before¹⁷.
151 After six days, urease activity was measured in total plant crude extracts. To gain
152 function, plant ureases depend on accessory proteins that help the insertion of nickel
153 ions into their active site^{17, 18}. As expected, in absence of the accessory proteins the
154 *NhUrease* had no enzymatic activity. Upon co-expression of three urease accessory
155 proteins from *A. thaliana*, UreD, UreF, and UreG, *NhUrease* gained function (Fig. 3). *A.*
156 *thaliana* urease expressed in the same *Nicotiana benthamiana* system as “positive
157 control” showed comparable results (Fig. 3).

158 Regarding the evolutionary age of ureases in plants, they are generally considered to
 159 be as old as plants themselves but a putative horizontal transfer of prokaryotic origin to
 160 unicellular algae is suggested¹⁹. To learn more about a putative functional evolution of
 161 ureases in *Nepenthes* and other carnivorous plants' taxa, a comprehensive
 162 phylogenetic analysis for eukaryotic (fungal and plant) ureases was conducted to
 163 construct an evolutionary tree (Fig. 4). The ureases from *N. alata* and *N. hemsleyana*,
 164 *Dionaea muscipula*, *Drosera spatulata*, and *Aldrovanda vesiculosa* were found closely
 165 related (Supplementary Table 1; Fig. 4) forming part of a separate clade. This clade,
 166 however, does not contain ureases from carnivorous *Genlisea aurea*²⁰ or *Cephalotus*
 167 *follicularis*, which are found in separated clades (Fig. 4). For carnivorous *Utricularia*
 168 *gibba* no urease gene was detectable (RefSeq NC_021449.1)²¹.

169

170

171 Discussion

172 On the cellular level, the main function of plant ureases is related to nitrogen recycling
 173 from endogenous urea. The presence of ureases in almost all plant taxa indicates the
 174 vital role of this enzyme in metabolism, as part of arginine catabolism from which
 175 arginase generates ornithine and urea²². In our study we showed that carnivorous
 176 *Nepenthes* can harvest nitrogen in the form of urea "captured" in their pitchers and
 177 released upon cleavage by the plant's urease. Thus, by employing urease not only for
 178 nitrogen recycling, *Nepenthes* plants acquired a new source for nutrient
 179 supplementation. Our studies with ¹⁵N-enriched urea injected into closed pitchers
 180 demonstrated that the exogenous urea is taken up from the pitcher fluid, hydrolyzed
 181 and the nitrogen is distributed within the plant. Due to the fact that the fluid of closed
 182 *Nepenthes* pitchers grown under the described conditions was shown to be sterile and
 183 unsuitable for microbial growth in several species including *N. alata*²³, a possible
 184 microbial involvement in urea hydrolysis in *Nepenthes* pitcher fluid could be excluded.
 185 This is further supported by the fact that no urease was detected in the pitcher fluid but
 186 in the tissue, thereby preventing alkalization of the pitcher fluid by released ammonia.
 187 Also, in contrast to other *Nepenthes* enzymes found in the pitcher fluid^{24, 25, 26}, for the
 188 urease protein sequence no signal peptide was detectable that suggests secretion into
 189 the digestive fluid. Thus, considering that the presence of urease in the pitcher fluid

190 was excluded, the involvement of a urea transporter is likely. Urea transporters are
191 present in most organisms and belong to different classes, some functioning as
192 passive channels, others as secondary active transporters. Interestingly, urea
193 transporters commonly found in animals and bacteria (UT, UreI, Yut) are absent in
194 plant and fungal genomes²⁷. Plant and fungal genomes encode a different family of
195 urea transporters, called DUR3²⁸. Whether or not *Nepenthes* pitcher tissue has DUR-
196 type urea transporters remains open and will be addressed in further studies.

197 Based on our results that a functional urease is present in the carnivorous *N. alata*, we
198 claim that urease supported a further transition from carnivory to coprophagy in the
199 closely related *N. hemsleyana* and probably *N. lowii*. In carnivorous plants, functional
200 diversification of genes and proteins, which act in quite different pathways, is
201 described. For example, class I chitinases are pathogenesis-related hydrolytic
202 enzymes in higher plants that are involved in defense against herbivory and pathogen
203 attack²⁹. Interestingly, based on sequence homology, for carnivorous plants belonging
204 to the order Caryophyllales a functionalization of class I chitinases has been
205 demonstrated supporting the idea of carnivorous specialization. In that case, two
206 chitinase I subclasses evolved, very likely due to different substrate degradation
207 requirements in the chitin of fungi and insects. Thus, subclass Ia is still related to
208 pathogen defense and sub-class Ib is related to carnivory³⁰. The same chitinase
209 subclass Ib has also been found recently in *Drosera*³¹. Even more striking are certain
210 ribonucleases in carnivorous plants. While S-like RNases are usually induced by
211 stress such as low nutrition level, feeding damage or even senescence, in carnivorous
212 plants their S-like ribonucleases evolved to function in carnivory³². Surprisingly, with
213 respect to the conventional phylogeny, the S-like ribonucleases from phylogenetically
214 non-related carnivorous plants such as *C. follicularis* on one side and *Nepenthes*,
215 *Dionaea* and *Drosera* on the other side, show higher similarities than expected. This
216 phenomenon was explained based on a carnivory-dependent evolution of the
217 enzyme³³. Concerning the urease in *Nepenthes*, we did not find evidence of such
218 specialization at the sequence level although convergent amino acid changes for the
219 carnivorous plants could be detected (Fig. 2B), comparable with results found for S-
220 like ribonucleases³³, chitinases and phosphatases⁴. The phylogenetic tree constructed
221 in our work, including seven species of carnivorous plants, is in agreement with the
222 tree encompassing ureases from all domains of life¹⁹. It is also in agreement with the
223 plant phylogeny, as confirmed by comparison with the Interactive Tree of Life using the

PhyloT tool from iTOL 3.0³⁴. Thus, the distant positions of *G. aurea* and *C. follicularis* ureases found in the tree - compared with the “*Nepenthes* clade” – were not surprising (Fig. 4). Interestingly, no urease was found in the genome of the carnivorous plant *Utricularia gibba*. Indeed, it has been shown that plants from this genus rely on their traps to acquire phosphates and possibly sulfates^{21, 35}. Considering that nitrogen is not a limiting nutrient for this group of carnivorous plants, and that urease is not essential for nitrogen metabolism, the absence of this enzyme in such a heavily reduced genome as found for *U. gibba*²¹ is comprehensible and somewhat expected.

Our findings support the hypothesis that in carnivorous plants ureases, in contrast to other enzymes such as chitinases³⁰, did not further evolve towards a specialized function but carry out their inherent enzymatic activity. This was not surprising in view of the fact that the substrate urea is clearly defined, in contrast to e.g. chitins from insect and fungi. The employment of urease allows metabolizing exogenously available urea present in the pitchers of *N. hemsleyana* plants. Thus, based on the adaptation of morphological structures already used for plant carnivory and basic biochemical features, a specialized coprophagous lifestyle could be established in *Nepenthes* species. However, more studies are needed to fully understand the physiology of exogenously provided urea, its uptake from the pitcher fluid, the nitrogen distribution, and its utilization within the pitcher plant.

Methods

Plant material and treatment. *Nepenthes alata* Blanco plants were grown in growth chambers at 20-25 °C, 80-85% humidity and a 16/8 h light/dark photoperiod. *Nepenthes hemsleyana* Macfarl. was grown in the greenhouse on a mixed substrate (sphagnum/bark/leaves/moss) with an average temperature of 23-25 °C and 80-100% humidity. The photoperiod was at least 12 h of light per day. For urea feeding experiments, 75 µl of 2 M urea (representing ~ 50 mM final concentration) was injected with a sterile syringe into one closed pitcher of *N. alata*. Either non-modified (¹⁴N) urea (Merck) or 10% ¹⁵N-enriched urea (Aldrich) was used. ¹⁵N and ¹⁴N content in leaf tissue were analyzed after different time points by isotope-ratio mass spectroscopy (see below). ¹⁴N urea-fed and not fed plants were used as controls.

256 **RNA isolation and cDNA synthesis.** High quality total RNA was isolated from young
257 *Nepenthes* pitcher tissue using Invitrap spin plant RNA Mini Kit (STRATEC) according
258 to the manufacturer's instruction. First-strand cDNA synthesis was performed using
259 Superscript III reverse Transcriptase (Invitrogen) by using Oligo (dT)12-18 following
260 the manufacturer's protocol.

261 **Cloning of *Nepenthes* urease.** Eight different plant urease genes (BAB78715.1,
262 CAC43859.1, AAO85884.1, AAA83831.1, CAC43845.1, CAC43860.1, AAN08919.1,
263 NP_176922.1) were aligned with MEGA (v5.5) using MUSCLE algorithm³⁶. Based on
264 the alignment degenerate primers (forward: AARAATGTNHTNCCBTCWTCAAC and
265 revers: AGGWGTDGGDATRCTNSCATTT) were designed. PCR was performed using
266 the designed degenerate primer. A fragment of around 500 nt was amplified using
267 cDNA from *Nepenthes* as a template. Based on that sequence we designed specific
268 primers to obtain the full-length sequence of *Nepenthes* urease by performing RACE-
269 PCR. 5'-RACE: First round of 5'-RACE was performed using gene specific primer1
270 (GSP1: TCAGAGTCAAGTGGCCCTCTCTGCACTT) and nested gene specific primer
271 1 (NGSP1: GCGACCCATAGCCTGTGAATCAGAAGAGA). A second round of 5'-
272 RACE was needed since 5'-end was incomplete after first round 5'-RACE. Based on
273 the sequence of the first round 5'-RACE product, gene specific primer 2 (GSP2:
274 AGACAGGCAGCTGGCGGGTACCCAGA) was designed and 5'-end of *Nepenthes*
275 urease was amplified. 3'-RACE: 3'-RACE gene specific primer (3'GSP:
276 TACGAGCCGAAACCATTGCTGCAGAAGACA) was designed based on the
277 sequence of the first round 5'-RACE product. SMART RACE cDNA amplification kit
278 (Clontech) was used. Template RNA for RACE-PCR was isolated as described above.
279 All PCR products were cloned into pJET1.2/blunt plasmid and sequenced. A cDNA
280 contig was formed with seqMan and open reading frame (ORF) was determined. The
281 complete ORF of both *N. hemsleyana* and *N. alata* ureases were amplified and cloned
282 into pJET1.2/blunt for sequencing. Proofed sequences were submitted to EMBL.

283 **Protein extraction, western blotting, heterologous expression, and urease**
284 **activity detection.** Proteins were extracted from *Nepenthes* leaf or pitcher tissue
285 using 50 mM phosphate buffer (pH 7.5) containing 2% PVPP, 50 mM NaCl, 1 mM
286 EDTA and 20 mM DTT (DTT was added fresh before extraction). Protein separation
287 by SDS-PAGE and blotting were performed using Miniprotein TGX gels (Bio-Rad) and
288 Trans blot turbo blotting system (Bio-Rad) respectively. Polyclonal anti-jackbean and

289 anti-*A. thaliana* urease antibodies were used for immunoblot urease detection and
 290 indicated in the particular experiments. Transient expression of *NhUrease* in *Nicotiana*
 291 *benthamiana* was performed according to¹⁷. For functional tests, *NhUrease* and
 292 accessory proteins from *A. thaliana*, UreD, UreF, and UreG, were coexpressed.
 293 Urease activity was measured as described³⁷.

294 **Isotope ratio mass spectrometry analysis (IRMS).** About 2 mg of dried and ground
 295 plant material was weighed with an ultra-micro balance (UMX2, Mettler-Toledo), in
 296 small 40 µl tin capsules (3.5 x 5 mm, HEKATech. HE 24005300). The capsules were
 297 sealed and combusted (oxidation at 1020 °C, reduction at 650 °C) in a constant helium
 298 stream (80 ml min⁻¹) quantitatively to CO₂, N₂ and H₂O using an elemental analyzer
 299 (EuroEA CN₂ dual, HEKATech). After passing a water trap (MgClO₄) the gases were
 300 separated chromatographically at 85 °C and transferred via an open split to a coupled
 301 isotope ratio mass spectrometer (IsoPrime, Micromass). Isotope ratios were calculated
 302 as:

$$\delta^{15}N = \left[\frac{(R_{sample} - R_{standard})}{R_{standard}} \right] - 1$$

303 δ values usually are small numbers. Hence, they are commonly multiplied by 1000 and
 304 communicated in ‰ units or mUr³⁸. R is the ratio of heavy to light isotope (¹⁵N/¹⁴N) of
 305 the sample and the standard, respectively. δ¹⁵N is the relative deviation of the heavy to
 306 light isotope ratio from the international standard (air-N₂ for nitrogen). Samples were
 307 measured against our laboratory working standard alice-1 (acetanilide, δ¹⁵N = -1.44 ±
 308 0.12‰) which has been calibrated for δ¹⁵N by a two-point normalization using IAEA
 309 reference material IAEA-N1 (+0.43‰) and IAEA-N₂ (+20.40‰)³⁹. Empty tin capsules
 310 were used as blanks. Three technical replicates of each plant material bulk sample
 311 were analyzed. A caffeine standard (δ¹⁵N = -4.01 ± 0.10‰) was analyzed together with
 312 the samples as QA reference material for long-term performance monitoring of the
 313 whole analytical setup; for details see⁴⁰. δ¹⁵N values were not corrected for *m/z* = 30
 314 (¹⁵N₂) because in all samples the ¹⁵N content was always below 2%. For isotope ratios
 315 of leaf proteins about 2 mg of acetone-precipitated protein were used.

316 **Phylogenetic analysis.** Amino acid sequences of plant and fungal ureases were
 317 retrieved from the National Center for Biotechnology Information⁴¹ based on a previous
 318 urease phylogeny study by Ligabue-Braun and colleagues¹⁹. These sequences, along

319 with the sequences of ureases from additional carnivorous plants presented here were
320 aligned using MAFFT⁴² and filtered for unreliable positions using Guidance2⁴³. The
321 final alignment was used to infer the evolutionary history of these ureases by using the
322 Maximum Likelihood method, based on the LeGascuel2008 model. A discrete Gamma
323 distribution was used to model evolutionary rate differences among sites, and the rate
324 variation model allowed for some sites to be evolutionarily invariable. All positions
325 containing gaps and missing data were eliminated. Significance was assessed via
326 1,000 bootstrap pseudoreplicates, and branchings under 50% of bootstrap support
327 were collapsed. All evolutionary analyses were conducted in MEGA7⁴⁴. Sequence
328 similarity matrices were generated with MatGAT⁴⁵.

329 **Data availability.** The assembled amino acid sequences used for the phylogenetic
330 analysis are available from: *N. alata*, Accession #: LT622248, EMBL; *Nepenthes*
331 *hemsleyana*, Acc #: LT622249, EMBL; *Dionaea muscipula*, Acc #:
332 comp223007_c0_seq3, <http://tbio.carnivorom.com>³; *Aldrovanda vesiculosa*, Acc #:
333 KY293301, NCBI; *Genlisea aurea*, Acc #: EPS69592, NCBI; *Drosera spatulata*, Acc #:
334 LC194217, NCBI; *Cephalotus follicularis*, Acc #: BDDD01005981 (gene region: 27762
335 to 34049)⁴. The data that support the findings of this study are also available from the
336 corresponding author on request.

337

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351

352 **Author contributions**

353 A.Y., C.R.C. and A.M. conceived and designed the research; A.Y., A.Z., S.B., and
354 C.R.S., M.G.S, and C.-P.W. performed the experiments; R.L.-B. carried out
355 phylogenetic analysis; R.H. and M.H. provided sequence information; C.R.S., M.G.S,
356 G.K. established, grew and provided *N. hemsleyana* plant material; A.Y., A.M., and
357 R.H. wrote the manuscript. All authors contributed to the manuscript.

358

359 **Competing interests**

360 The authors declare no competing financial interests.

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503 **Legends:**

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505 **Figure 1. Nitrogen uptake, mobilization and incorporation in *Nepenthes*.** (A) ^{15}N uptake in
506 leaf-base of ^{15}N -enriched urea-fed pitcher. ^{15}N -enriched urea was injected into the closed
507 *Nepenthes alata* pitcher and ^{15}N content in the leaf base of injected pitchers was analyzed
508 after 0, 6, 12, 24, 48, 96, 168 and 504 hours by isotope-ratio mass spectroscopy (IRMS). (B)
509 Nitrogen mobilization from pitcher to the different leafs in the same branch. ^{15}N -enriched urea
510 was injected into the closed *N. alata* pitcher followed by the analysis of ^{15}N content in younger
511 and in older leaves of the same branch after 3 weeks by IRMS. Leaves 1 and 2 represent
512 individual leaves in independent experiments. (C) ^{15}N incorporation into protein in *Nepenthes*.
513 ^{15}N -enriched urea was injected into the closed *N. alata* pitcher. ^{15}N content in the protein
514 extracts of young and old leaves of the same branch was analyzed after 3 weeks by
515 IRMS. ^{14}N -fed and not fed plants were used as a control.

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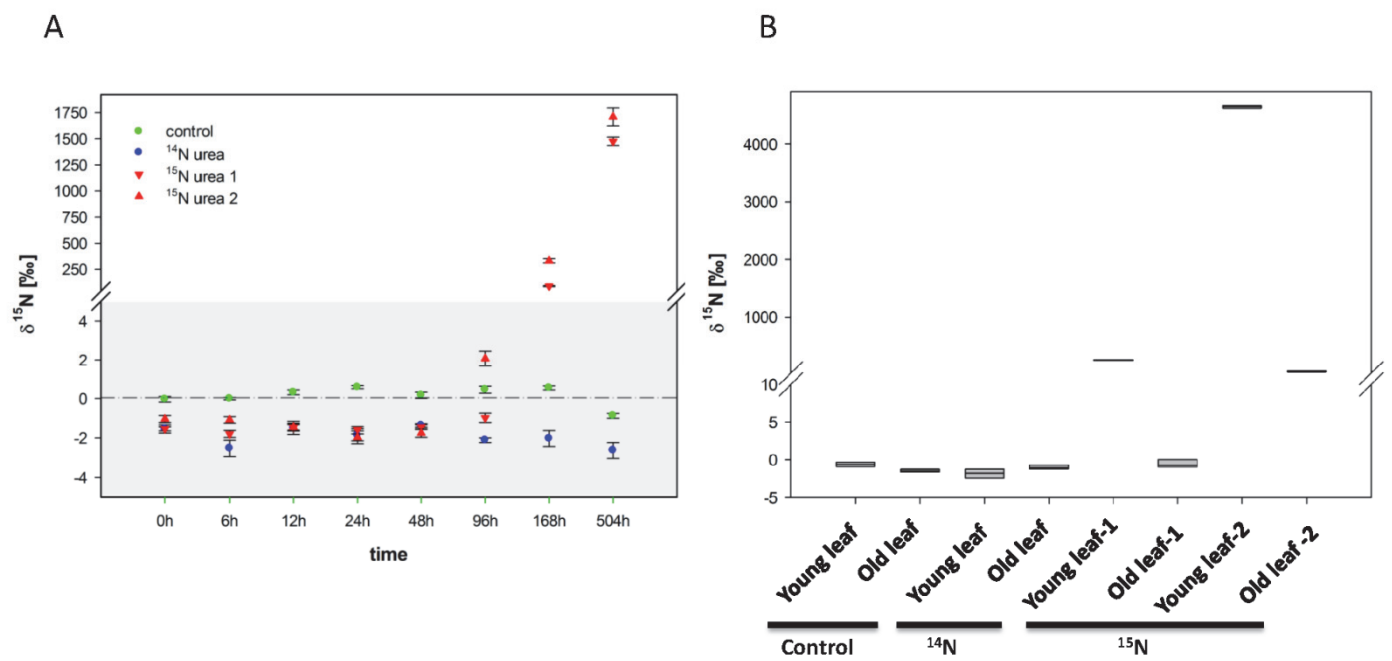
517 **Figure 2. Features of urease from *Nepenthes*.** (A) Assessment of urease protein in crude
518 protein extracts from *N. hemsleyana* and *N. alata* by immunoblot using polyclonal anti-
519 jackbean urease antibodies. (B) Schematic illustration of *Nepenthes* urease based on its
520 amino acid (aa) sequence analysis. Active-site mobile flap and jaburetox region of *Nepenthes*
521 urease are indicated in different colors. Positions of amino acids found to be unique for
522 carnivorous plants' ureases when compared with *Canavalia ensiformis*, *Glycine max*, and
523 *Arabidopsis thaliana* are indicated by arrows with red label; amino acids found to be unique
524 only for *Nepenthes* ureases are indicated by arrows with black label. In this case amino acids

are indicated only when all non-*Nepenthes* species show an identical amino acid in that position.

Figure 3. Expression and enzymatic activity of heterologous ureases in *Nicotiana benthamiana*. Proteins were transiently expressed in *Nicotiana benthamiana* for six days, afterwards total proteins of the plants were extracted and desalted. (A) Specific urease activity of: non-transformed *Nicotiana benthamiana*, P19, negative control; *A. thaliana* urease alone, *N. hemsleyana* urease alone, *A. thaliana* urease with accessory proteins UreD, UreF and UreG, *N. hemsleyana* urease with accessory proteins UreD, UreF and UreG. Error bars are SE (n=3) (Different letters label groups which are significantly different ($p < 0.05$; Oneway Anova with Turkey's post-hoc test). (B) Assessment of urease proteins in the corresponding samples by immunoblot employing anti- *A. thaliana* urease-specific antiserum.

Figure 4. Phylogenetic analysis of ureases. Molecular phylogenetic analysis of plant (green) and fungal (red) ureases, with diamonds highlighting ureases from carnivorous plants included in this study. The tree with the highest log likelihood is shown. Branchings under 50% of bootstrap support (1,000 pseudoreplicates) were collapsed.

Supplementary Fig. 1. Homology among amino acid sequences of ureases. Included are ureases from seven carnivorous plants (*Aldrovanda vesiculosa*, *Cephalotus follicularis*, *Dionaea muscipula*, *Drosera spatulata*, *Genlisea aurea*, *Nepenthes alata*, *Nepenthes hemsleyana*) and three non-carnivorous plants (*Canavalia ensiformis*, *Glycine max* embryo-specific, *Arabidopsis thaliana*). Different colors indicate individual amino acids that are different to the consensus amino acid at a particular position.



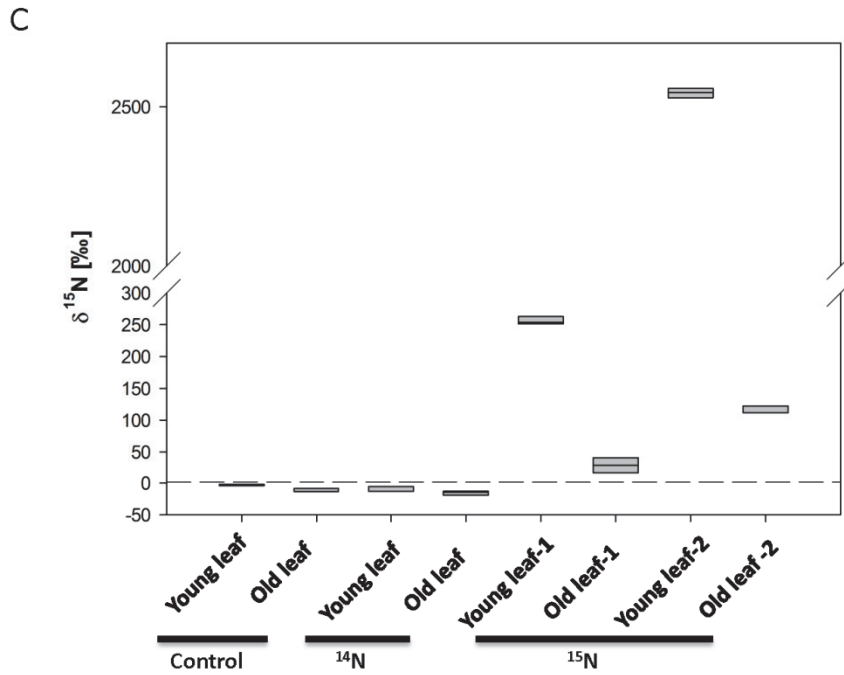


Figure 1. Nitrogen uptake, mobilization and incorporation in *Nepenthes*

(A) ^{15}N uptake in leaf-base of ^{15}N labeled urea fed pitcher. ^{15}N labeled urea was injected into the closed *Nepenthes alata* pitcher and ^{15}N content in the leaf base of injected pitcher was analyzed after 0, 6, 12, 24, 48, 96, 168 and 504 hours by IRMS. (B) Nitrogen mobilization from pitcher to the different leaves in the same branch. ^{15}N labeled urea was injected into the closed *Nepenthes alata* pitcher. ^{15}N content in young and old leaves of the same branch was analyzed after 3 weeks by IRMS. (C) ^{15}N incorporation into protein in *Nepenthes*. ^{15}N labeled urea was injected into the closed *Nepenthes alata* pitcher. ^{15}N content in the protein extracts of young and old leaves of the same branch was analyzed after 3 weeks by IRMS. ^{14}N feed and not fed plants were used as a control.

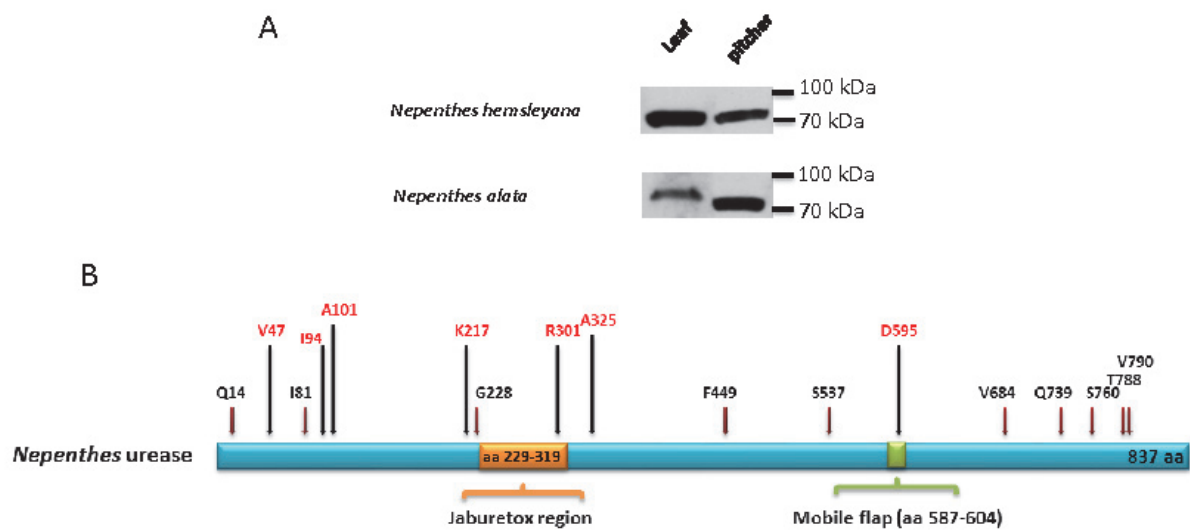


Figure 2. Features of urease from *Nepenthes*. (A) Assessment of urease protein in crude protein extracts from *N. hemsleyana* and *N. alata* by immunoblot using polyclonal anti-jackbean urease antibodies. (B) Schematic illustration of *Nepenthes* urease based on its amino acid (aa) sequence analysis. Active-site mobile flap and jaburetox region of *Nepenthes* urease are indicated in different colors. Positions of amino acids found to be unique for carnivorous plants' ureases when compared with *Canavalia ensiformis*, *Glycine max*, and *Arabidopsis thaliana* are indicated by arrows with red label; amino acids found to be unique only for *Nepenthes* ureases are indicated by arrows with black label. In this case amino acids are indicated only when all non-*Nepenthes* species show an identical amino acid in that position.

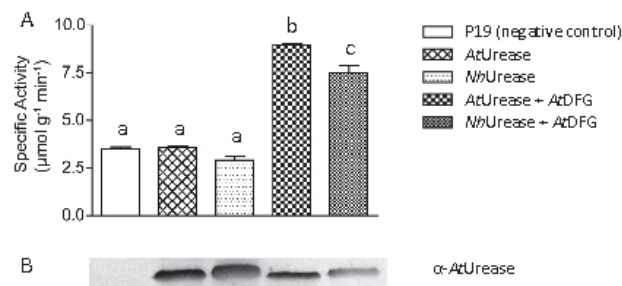


Figure 3: Enzymatic activity and expression of Ureases. Proteins were transiently expressed in *Nicotiana benthamiana* for six days, afterwards total proteins of the plants were extracted and desalted. (A) Specific urease activity of: non-transformed *Nicotiana benthamiana*, P19, negative control; *A. thaliana* urease alone, *N. hemsleyana* urease alone, *A. thaliana* urease with accessory proteins UreD, UreF and UreG, *N. hemsleyana* urease with accessory proteins UreD, UreF and UreG. Error bars are SE (n=3) (Different letters label groups which are significantly different ($p < 0.05$; Oneway Anova with Turkey's post-hoc test). (B) Assessment of urease proteins in the corresponding samples by immunoblot employing anti- *A. thaliana* urease-specific antiserum.

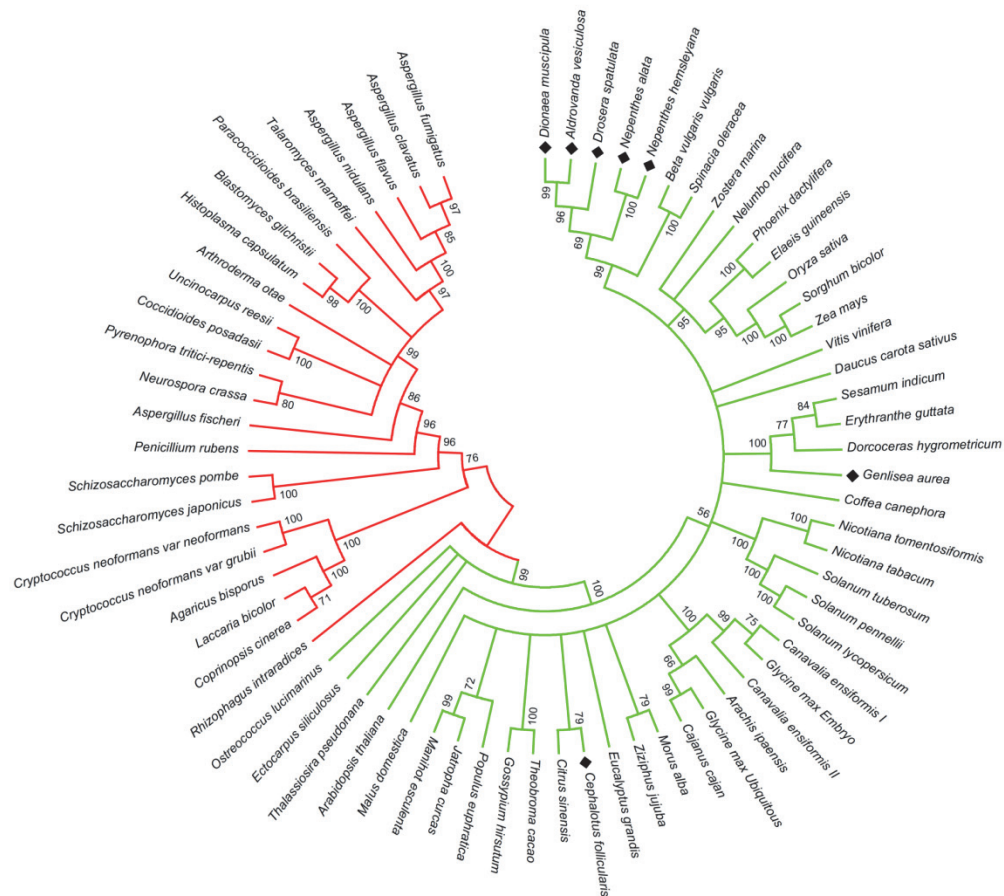


Figure 4: Molecular phylogenetic analysis of plant (green) and fungal (red) ureases, with diamonds highlighting ureases from carnivorous plants. The tree with the highest log likelihood is shown. Branchings under 50% of bootstrap support (1,000 pseudoreplicates) were collapsed.

<i>Aldrovanda vesiculosa</i>	M	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D	
<i>Cephalotus follicularis</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Dionaea muscipula</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Drosera spatulata</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Genlisea aurea</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Nepenthes alata</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Nepenthes hemsleyana</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Canavalia ensiformis</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Glycine max Embryo</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D
<i>Arabidopsis thaliana</i>	M	K	L	P	R	E	K	L	H	N	A	G	F	L	A	Q	K	R	L	A	R	G	L	R	L	N	Y	T	E	A	V	A	L	I	A	T	Q	-	L	E	F	V	R	D	G	K	T	V	A	E	L	M	D	I	G	K	Q	L	L	G	R	R	Q	V	L	P	A	V	H	L	L	T	V	Q	V	E	G	T	F	D	G	T	K	L	I	T	H	D

<i>Aldrovanda vesiculosa</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Cephalotus follicularis</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Dionaea muscipula</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Drosera spatulata</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Genlisea aurea</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Nepenthes alata</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Nepenthes hemsleyana</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Canavalia ensiformis</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Glycine max Embryo</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A
<i>Arabidopsis thaliana</i>	P	I	A	S	E	N	G	L	L	A	L	G	S	F	L	V	P	S	L	D	K	F	F	E	D	G	K	I	P	G	E	L	R	G	V	-	G	M	L	N	G	R	K	A	V	I	L	K	V	-	V	N	T	G	D	R	P	I	Q	V	G	S	H	Y	H	F	E	V	N	P	L	-	L	V	D	R	R	K	A	G	M	R	L	N	I	P	A

<i>Aldrovanda vesiculosa</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Cephalotus follicularis</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Dionaea muscipula</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Drosera spatulata</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Genlisea aurea</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Nepenthes alata</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Nepenthes hemsleyana</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L	A	F	T	-	I	S	R	E	A	-	N	M	Y	G	T	T	G	-	I	R	L	G	D	T
<i>Canavalia ensiformis</i>	G	T	A	T	R	F	E	P	D	K	S	V	L	V	S	I	G	G	K	R	I	R	G	N	N	T	A	D	G	V	-	G	V	A	M	-	G	V	F	H	-	E	E	A	R	E	G	V	T	G	-	L																								

4. Unpublished results

Towards promoter analysis of *Nepenthes* PR genes

Promoter regions, i.e. DNA sequences located upstream of gene coding sequences, often contain *cis*-acting regulatory elements. *Cis*-acting regulatory elements play an essential role in the regulation of plant gene expression. Regulation of PR genes is often closely related to *cis*-acting regulatory elements like W-box, GCC-box and G-box (Sudisha *et al.*, 2012). Analyses of potential promoter elements of *Nepenthes* PR genes can provide more information of PR gene regulation. This implies a possibility to discover more molecular characteristics for the regulation of carnivory. For this purpose a genome walking library was constructed using *Nepenthes* genomic DNA, which allows the amplification of promoter regions of any gene. Promoter regions of selected PR genes, nepenthesin I, chitinase 3 and PR1 were investigated.

Results

Around 1 kb promoter regions were amplified for all three investigated *Nepenthes alata* PR genes, nepenthesin 1, chitinase 3 and PR1 (Figures S1 and S2). A number of WRKY binding sites are predicted on promoter regions of all three genes, including further binding sites for transcription factors like Myb and bZIB, bHLH and Dof. On the promoter regions of nepenthesin I and chitinase 3, 11 binding sites for WRKY transcription factors were predicted

for each gene. For PR 1, 27 WRKY binding sites were predicted. Around 30 Myb binding sites are present for nepenthesin I, around 20 for chitinase 3 and PR1. About 15 bZIB binding sites are predicted for chitinase 3 and PR1, but only around 5 for nepenthesin 1. The bHLH binding sites are 10 for both nepenthesin 1 and PR1, but 20 for chitinase 3. For nepenthesin I and for PR1 around 20 Dof binding sites are predicted whereas only 3 are present on the promoter region of chitinase 3.

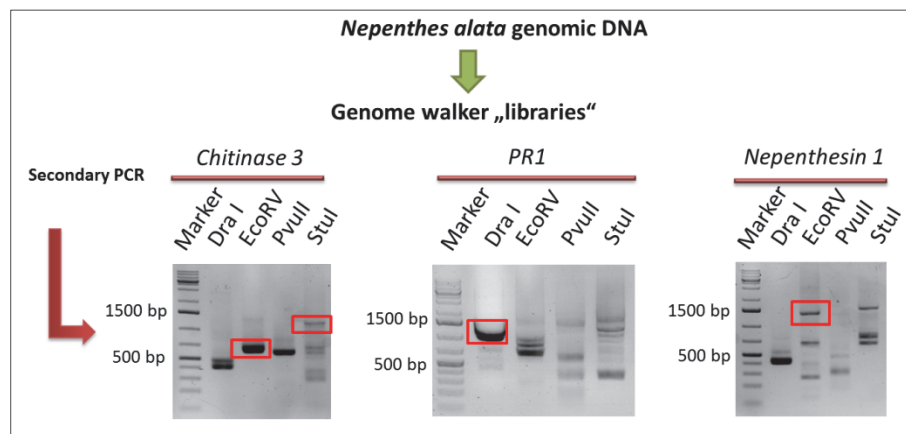


Figure S1: Schematic illustration of promoter region amplification of selected PR genes, nepenthesin I, chitinase 3 and PR1 using Genome Walker library. Each line represents amplicons from libraries which were constructed using individual restriction enzymes, *DraI*, *EcoRV*, *PvuII* and *StuI*. Red boxes indicate the amplicons used for sequencing.

A

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1      10      20      30      40      50
|GGGTATCAA1AAAAA10CTAA20TACAGA30ATTTTAA40ATTTGG50CTTTGATTCCG60ACTTTT
60      70      80      90      100     110
TCATGAA60ATTTTAA70AACGA80TTTCGG90TTTTATTTTGTATACATGCAG100ATTTTGC110T
120     130     140     150     160
TGAGCAA120ATAAAAA130ATTAA140ATTGTTATTTTATTTAA150ATTTTGA160GTAA170T
170     180     190     200     210     220
TTAA170TAAATATATAG180TTTGAC190ATTATCCACATGAC200TTGTAATATGTATTATATG210C
220     230     240     250     260     270
AGAGGGGTA220AAAGCATTTT230CACTGGAGCAC240ATTAAAA250AAAAAA260AACTACC270AAATAA
280     290     300     310     320     330
TCAAG280AAAAAAAGCA290AAAA300TAAACAAATGAGATTATAAAA310ATTAGGA320TTCTTATAT330T
340     350     360     370     380     390
AATTATTTTATGTAGCGCTGG340CAAAAA350AAATAACATAAACC360ATTAAATGGGCA370AAAA380T
390     400     410     420     430     440
TCTAA390TTTTAGTTTTGATTATTTTATGATATTATCTCATTTTACATTCAATCGAA400
410     420     430     440     450     460
GTAAAGTAAGAAAA410AAAA420TTCTCATTAATTTATAATGGA430TGATATTCTATT440TCAT
450     460     470     480     490     500
TTTTTG450CTATTTTTCATTTCTCAGGAACGTGAATGCTTAGAC460TCGTACTATATACT470C
480     490     500     510     520     530
TAGGATTATAGCTAGGGGTCTAGATGATAGTAACAAAA480TGCTTTAAATATATTA490
510     520     530     540     550     560
TTTGCCATTATATTTGGTTCAAACATCCATTGCATAATACGAAATGGTTATGGTT510
530     540     550     560     570     580
TATCATTTTTTTTAA530TTTTTTTATTTTGA540TTTTTTAA550TATTTATAAC560TATTA570AAAT
570     580     590     600     610     620
AAAA570TAGATGGGACTCAAACATATATGTCCATAAAAA580TTCATAG590TGTGTTAA600AT
610     620     630     640     650     660
GTCAACTATGTTTCCTTCCGCCTGTTATATAATCACCCCTCCTACCTTTCCTCCAC610
630     640     650     660     670     680
AACTCACA630AAATTTGTTTGGTAACATTATCCACCGATCTTCCAAGCTGAAAA640AAAC650CA
670     680     690     700     710     720
GAAACTAGGGTAACGAACCATGGCCCTCGTCTGCTATATTCTTTCTTACTCGCA670

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B

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1      10      20      30      40      50
|GTAGGTGCTGAGCTGGAGTCC1TAGGATGTTTGGGGACAGTCC10CTCCTTTCGTT20
30      40      50      60      70      80
GGTTTTTCCTTATCATCAGATGAGTCCGCGTACTATAAGCAGCATCGCTCCTTCGG30
60      70      80      90      100     110
CTTACTTTGTGCTTGGGCAGAGTCCGCGGCCAGTTGATCCTCATTTAGTCTCTCGG60
90      100     110     120     130     140
ACCATTTGAGGGAGTTCTCAGCCGCTGCTTGCCACTTTGCCCTTGCTGGGAGGGTTT90
120     130     140     150     160     170
CTTCAGTCCCTCGTTCCCTGAATGTCACGTGCTATCCGCAGTGTCTTTTACTTTCGT120
140     150     160     170     180     190
GGGCCCTTTCCTTTGGGCCGTGCTTCATGGGCCCTTGGGTATTGGAACCTAACAGA140
160     170     180     190     200     210
TTATATATAC160TATTATTCTAAATTTAGATATCTGCTACAATTCAAGTGACACATC170
180     190     200     210     220     230
TATAACGATGTCTACATTAATTAATAATAATTTTAAAAAATTTTAAAAATAA180
200     210     220     230     240     250
TTTAGTATTTATTTTTCAGCTTATTTTTC200CAATAGTCAAATTCATATTTGTTATA210
220     230     240     250     260     270
TTATTTAAATAATATTTTTTTATCTTTTGAATAATATATTTTTTTGGGTAGTGGTG220
240     250     260     270     280     290
GGTTTTAAATATTTAGAGAAAATATTTATATTTAAATATAGATATTTATTTAGAGG240
260     270     280     290     300     310
AAGAGTGCTCTAATAAAAACTCATAGAGAACCTTACACAGCACTTCACTATAATTG260
280     290     300     310     320     330
ACTTGGAATTTATGAAGTAAACCTCTAACGACCTCTTCAAGCATGAAATTACGGG280
300     310     320     330     340     350
ACATTTTCACACAGAAAAACCCAAACAGCCGGCAGATCAAGTCAGTCGATTCC300
320     330     340     350     360     370
ACAAGTTTGATCAAGTCAGCTAATCTCAACATGTCTAACACTATAAATCAAGGAG320
340     350     360     370     380     390
CATCCCTCCTCCTCCTCCGCCACAGCCACCGCCACAAGCATCAAACCAACACCAA340
360     370     380     390     400
ACATGAAGACCCATTATTC360

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C



Figure S2. Promoter regions of selected PR genes, nepenthesin I (A), chitinase 3 (B) and PR 1 (C) are shown. Overlapping regions with open reading frames (ORF) of the genes are underlined with black lines.

Methods

Genomic DNA was isolated from *Nepenthes alata* pitcher tissue using Invitrap spin plant Mini Kit (STRATEC) according to the manufacturer instruction. Genome Walker library was constructed using GenomeWalker 2.0 Kit (Clontech) following the given protocol. The following gene specific (GS) and nested gene specific primers (NGS) were used: Nepenthesin (AB266803.1):

GSP: ACTCCCCCTTTCGACAGCACGTTCTAAGA

NGSP: GCTGTTCTTGACGTAGAATGTGTTGGGGCA

Chitinase 3 (AB510164.1):

GSP: AGTTTCCGGTGGCGCAGGTGTCGGATA

NGSP: GAGCCGTGGGACGGATTGATCGAGAGAAA

Pathogenesis related protein 1 (GQ337079 was used for primer design):

GSP: GCATAAGCCGCAACAGTGTTGTTCCATGTT

NGSP: CGAGGAAGTCTTGTTTGTCTGTTCTGAGCAT.

Putative *cis*-acting regulatory elements were predicted using PantPAN 2.0 (Chow *et al.*, 2016).

5. General discussion

Plants are sessile organisms that are unable to escape from unfavorable environmental conditions. Instead they need to adapt to the environment, which is supported by high plasticity of plant metabolism, pathways, and morphological variations. As an adaptation to nutrient limitations and poor soils, some plants developed a carnivorous lifestyle. Within carnivorous plants, a variety of different trapping organs with distinct morphology have been developed in different orders, families, genera and species, all of which support insect-prey based nutrient acquisition. In most carnivorous species prey digestion and subsequent degradation of nutrients rely on a mixture of hydrolytic enzymes. The exact place of prey digestion depends on the morphology of the responsible trapping organ. Species of carnivorous plants of the genus *Nepenthes* perform prey digestion in the digestive fluid of so-called pitchers, which are part of a metamorphosed leaf. Hydrolytic enzymes, which can also be categorized as members of the PR protein families, perform prey digestion in those pitchers. However, in a few *Nepenthes* species coprophagous specialization allows supplementation of a high amount of nitrogen, which is derived from mutualistic mammalian partners that defecate into the pitcher.

The objectives of my research was to investigate, on the one hand, the regulation of prey-induced digestive processes involved in nutrient supplementation in *Nepenthes* and, on the other hand, the biochemical background underlying nitrogen usage in further specialized, coprophagous species. Caught prey-depending signal initiation and induction of genes encoding proteins for the pitcher fluid were analyzed in *Nepenthes alata*. The role of phytohormones, especially the involvement of jasmonates, was studied. Additionally, promoter regions of selected PR genes were investigated. For the coprophagous plant *Nepenthes hemsleyana*, the precise origin of nitrogen and the role of ureases was examined. The results obtained have been discussed in detail in each manuscript. Here, I will discuss the results in a broader context regarding the regulation and

specialization of carnivorous lifestyle in plants, as well as its relationship to plant defense strategies in general.

Regulation of prey digestion by phytohormones

Insects have been recognized as the most significant herbivores on earth considering the fact that most of the estimated 4-6 million insect species are herbivores (Barah and Bones, 2015). Herbivory causes different kinds of damage to the plants. Mechanical damage and chemical signals are the key players for initiation of herbivory-induced plant defenses (Mithöfer and Boland, 2008). Generally, infestations of plants induce early signaling events like electrical signals followed by phytohormone biosynthesis (Gfeller *et al.*, 2010). Often jasmonates relay this information and lead to induction of gene expression. Gene expression of a particular gene can be regulated transcriptionally by a high number of transcription factors that bind to the promoter regions of a gene upon hormonal changes (Singh *et al.*, 2002). Jasmonates such as jasmonic acid, and salicylic acid are closely correlated with defense-related induction of gene expression such as genes of pathogenesis related (PR) proteins (van Loon *et al.*, 2006, Bari and Jones, 2009) that are induced as a response of various attacks (van Loon *et al.*, 2006, Sinha *et al.*, 2014).

Plants and insects have likely coexisted for the past 420 million years (Mithöfer and Boland, 2016). Supporting the assumption that the earliest appearance of carnivorous plants was at the end of Cretaceous (Juniper *et al.*, 1989) the first fossils of carnivorous plants are dated back to the Eocene, about 35-47 million years ago (Sadowski *et al.*, 2015). Thus, carnivory has definitely evolved later than plant defense against herbivory.

In carnivorous plants, the plants benefit from caught-insects as nutrient source. Hence, the carnivorous lifestyle represents a special form of plant-insect interactions. To the very heterogeneous group of carnivorous plants, there are more than 500 species known. Passive and active traps are

developed to fulfil nutrient demand; their morphology seems evolutionarily adjusted upon nutrient support, forms of nutrient supply, and ecological relevance (Juniper *et al.*, 1989).

Many aspects of plant defense pathways, especially the early events (Maffei *et al.*, 2007) in successful defense against insect herbivory seem to be present in carnivory-related processes in plants. The fruit fly *Drosophila melanogaster*, was used as an insect-prey model in the pitcher fluid of *N. alata*. *D. melanogaster* induced both, an elevation of jasmonate phytohormones as well as the expression of PR genes in pitcher tissue (Manuscript II). Measured by an optimized FRET (fluorescent resonance energy transfer)-based technique, proteolytic activity of prominent pitcher fluid protein, nepenthesin, was also demonstrated to be induced by prey. In addition, external JA, rather than SA application induced pitcher fluid proteolytic activity (Manuscript I). This corresponds to earlier studies where trapped prey in *Drosera* and *Dionaea* activated jasmonate signaling as well (Escalante-Perez *et al.*, 2011, Nakamura *et al.*, 2013). Moreover, both chemical and mechanical stimuli were important for JA signaling activation in *Drosera* and *Dionaea* (Mithöfer *et al.*, 2014, Böhm *et al.*, 2016, Krausko *et al.*, in press). In *Drosera*, the prey-initiated tentacle movement caused electrical signals followed by jasmonate accumulation (Krausko *et al.*, in press). Similarly in *Dionaea muscipula*, JA signaling is induced by trigger-hair-generated action potentials (APs) (Böhm *et al.*, 2016). In the case of *Nepenthes* results of mechanical stimulation (or in combination with chemical stimuli) are lacking. But exogenously applied chitin, a component of insect exoskeleton, was sufficient for jasmonate-mediated initiation of the carnivory signaling (Manuscript II). However, all these results demonstrate that carnivorous plants use the available JA signaling pathway in their trapping organs. JA-induced gene expression contributes to prey-digestion and nutrient acquisition. Participation of JA signaling in these processes strongly supports the hypothesis that plant carnivory is evolved from plant defense mechanisms (Mithöfer, 2011, Nakamura *et al.*, 2013, Mithöfer *et al.*, 2014, Bemm *et al.*, 2016, Krausko *et al.*, in press). This is also supported by the

recently published transcriptome landscape of *Dionaea* (Bemm *et al.*, 2016). However, detailed steps in signaling cascades from prey recognition to nutrient uptake are not completely understood in any carnivorous plant. For carnivorous plants the jasmonate pathway seems to be, not only a main modulator, but also an intersection coordinator, probably with some adaptations. It should be mentioned that prey-induced JA-accumulation in carnivorous plants showed a distinct time course that differs from herbivory-induced JA accumulation (Manuscript II) (Maffei *et al.*, 2007, Nakamura *et al.*, 2013). In fact, prey-induced jasmonate accumulation, gene expression and enzyme accumulation in *Nepenthes* is delayed by hours (Manuscript II) (Maffei *et al.*, 2007).

Clear evidences for involvement of jasmonates in many carnivory-modulated processes in recently investigated carnivorous plants lets us assume an even broader participation of jasmonate signaling in further nutrient acquisition steps such as endocytosis. In many carnivorous plants endocytosis plays a key role in nutrient uptake by enabling absorption and intracellular digestion of even whole proteins (Adlassnig *et al.*, 2012). Jasmonates are involved in modulation of endocytosis in plants (Sun *et al.*, 2011). Therefore it is tempting to speculate that in carnivorous plants, jasmonates are also involved in endocytosis mediated nutrient uptake. Further research is needed to prove a direct link between jasmonates and endocytosis, and molecular players of endocytosis in carnivorous plants needs to be identified.

As a response to prey or chitin in the pitcher fluid, *Nepenthes* PR genes selectively respond in pitcher tissue (Manuscript II). Under pathogen or insect attack many PR proteins are induced, which is mainly mediated by the induction of JA, SA or ethylene (van Loon *et al.*, 2006). JA- or SA-dependent selective induction of PR genes was demonstrated in tobacco leaves (Niki *et al.*, 1998). Crosstalk between JA and SA, especially in the context of plant pathogen interaction and induction of defense response upon pathogen attack is antagonistic (Robert-Seilanianantz *et al.*, 2011). This may explain the selective and precise time course coordination between gene expression and

hormonal induction during prey digestion in *Nepenthes*. Promoter analysis of PR genes in *Nepenthes*, especially the identification and characterization of *cis*-acting regulatory elements may provide more insight in PR gene regulation in *Nepenthes*, and in plant carnivory in general.

Cis-acting regulatory elements play an essential role in the regulation of plant gene expression. Combination of *cis*-acting regulatory elements and transcription factors allows an extremely specific gene expression regulation upon stress stimulation in plants (Hernandez-Garcia and Finer, 2014, Porto *et al.*, 2014, Biłás *et al.*, 2016). Regulation of PR genes is often closely related to *cis*-acting regulatory elements like W-box, GCC-box and G-box (Sudisha *et al.*, 2012). W-box, for instance, is a binding site for a class of transcription factors called WRKY(s) (Phukan *et al.*, 2016). Large number of WRKYs regulate multiple responses through various interconnecting signaling networks in plants (Phukan *et al.*, 2016).

To analyze the promoters of different PR genes in *Nepenthes*, a Genome Walker library was constructed (see unpublished results). Primarily, promoter regions of PR genes like nepenthesin I, chitinase 3 and PR 1 were investigated. Promoter regions of selected PR genes contained a number of binding sites for WRKY transcription factors and additional promoter regulatory regions like Myb and bZIB, which are known to play a role in the regulation of plant defense related gene expression (Singh *et al.*, 2002) (see unpublished results). On the promoter regions of nepenthesin I and chitinase 3, 11 binding sites for WRKY transcription factors for each gene were predicted. Furthermore, 27 WRKY binding sites were predicted for PR 1. The same classes of binding sites were reported for chitinase genes from *Drosera*, which were functionalized to act in carnivory (Jopcik *et al.*, 2016). The presence of both JA- and SA-responsive WRKY transcriptional factors was identified in other plants including *Arabidopsis* (Dong *et al.*, 2003, Schluttenhofer *et al.*, 2014). Recently it was shown that WRKY22 modulates the interplay between JA and SA signaling in *Arabidopsis* (Kloth *et al.*, 2016). Further experimental investigation is needed to prove the exact regulatory

mechanisms and functionality of all predicted *Nepenthes cis*- acting regulatory elements. A deletion analysis with truncated promoters (Oropeza-Aburto *et al.*, 2011) can be performed for further characterization.

The more we learn, and the more we design the future research topics, the more comprehensively we can understand carnivorous plants. Knowledge about protein composition of *Nepenthes* pitcher fluid and its biochemical properties deepens our understanding of the molecular features of a carnivorous lifestyle. Known protein characteristics may help to develop an ideal way to discover new proteins. Glycosylation of the heterologously expressed nepenthesin cloned from *Nepenthes* is shown (Manuscript I). High glycosylation of PR1 from the pitcher fluid of *Nepenthes* was proved (Buch *et al.*, 2014). Glycosylation sites for more known PR proteins from *Nepenthes* are also predicted. Much more proteins in the pitcher fluid are possibly glycosylated, as it may have a protective role in harsh conditions. *Nepenthes* pitcher fluid exhibits a special environment due to extreme pH change, necessary for prey digestion (Manuscript I). Further studies of glycosylation pattern of enzymes from pitcher fluids might increase the possibility to discover canonical biochemical properties of the proteins. This could open new possibilities for biotechnological applications. Using chromatographic methods applying concanavalin A (Con A) in combination with proteomic approaches may allow the identification of new protein components from the pitcher fluid, which were not yet detectable due to their low amount in the pitcher fluids.

Further specialization in carnivorous plants

Apart from the above described adaptations of insect-prey based carnivory, further specialization exists in carnivorous plants, which enables them to use distinct nutrient sources. A mutualism-based nitrogen access in *N. hemsleyana* is an example of this phenomenon. The results regarding urease-mediated urea usage support the idea that further specialization leads to coprophagy in *Nepenthes* (Manuscript III). However, whether or not these plants rely completely on feces-derived nitrogen or still have the ability

to catch and digest insect prey was not completely understood. Interestingly, *N. hemsleyana* plants fed with feces demonstrated increased survival, growth and photosynthesis compared to the arthropod fed plants (Schöner *et al.*, in press). Thus, the authors hypothesized an outsourcing strategy where the plant benefits from prey that was pre-digested by the bat mutualist (Schöner *et al.*, in press).

From the evolutionary point of view, carnivory in plants is assumed to have evolved independently nine times in five different orders of higher plants (Ellison and Gotelli, 2009, Givnish, 2015). In carnivorous plants, functional diversification of genes involved in prey digestion is observed (Renner and Specht, 2012, Nishimura *et al.*, 2014, Jopcik *et al.*, 2016). Carnivory-specific functionalization and sub-functionalization of some enzymes like chitinase and S-like ribonuclease in favor of carnivory was reported (Renner and Specht, 2012, Nishimura *et al.*, 2014). In some carnivorous plants in the order of Caryophyllales, functionalization of class I chitinases for carnivory has been demonstrated. Two subclasses, subclass 1a and subclass 1b have evolved. Function of subclass 1a remained to act for plant defense, where subclass 1b is related to plant carnivory (Renner and Specht, 2012, Jopcik *et al.*, 2016). S-like ribonucleases also evolved to function in carnivory, rather than function in self-incompatibility mechanism of plant, compared to its sequence homology S-RNases (Nishimura *et al.*, 2013). Carnivorous plant S-like ribonucleases exhibited specific amino acid residues unique for carnivorous plants. In the case of urease, *Nepenthes* ureases demonstrated the same functional domain characteristics like other plant ureases and seem to represent a typical urease without carnivory-related features. This might be due to the fact that the urease is not part of the digestive fluid and, thus, not exposed to acidic conditions and enormous proteolytic activities. However, urease supported further specialization from carnivory to coprophagy in *Nepenthes*. Phylogenetic tree constructed for urease is in agreement with the phylogenetic relationship of the included carnivorous plants. Nevertheless,

the urease sequences studied show seven carnivorous plant-specific amino acid substitutions. Ten amino acid changes were found to be unique for the two *Nepenthes* species (Manuscript III). Convergent evolution of amino acid substitution specifically for carnivory, or even for coprophagy, cannot be excluded completely, by taking those carnivorous plant specific amino acid changes in urease into account. Considering the described carnivory specific functionalization and evolution of chitinase and S-like ribonuclease, convergent evolution seems to be a driving force for development of carnivory strategies under ecological adaptations.

Interestingly, for the aquatic carnivorous plant *Utricularia gibba* no urease gene was detected (Manuscript III). Environmental factors such as nitrogen availability in aquatic ecosystems most probably played a role in complete urease gene loss in *U. gibba*. Hence, ecological adaptation by urease usage in carnivorous plants cannot be excluded.

The results of this thesis concerning the regulation of prey digestion in *Nepenthes* demonstrate versatility of jasmonate signaling pathway in prey derived nutrient supplementation. These results confirm the hypothesis that carnivory in plants has evolved from plant defense strategies, which is further strongly supported by the recent research achievements from other carnivorous plants (Mithöfer, 2011, Nakamura *et al.*, 2013, Mithöfer *et al.*, 2014, Bemm *et al.*, 2016, Krausko *et al.*, in press). It also shows how shaping of existing pathways can contribute to developing new lifestyles, here in favor of carnivory plants.

6. References

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7. Curriculum Vitae

Personal data

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Nationality:	China (Uyghur)	
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Scientific career and education

Since 10/2012	PhD student at the Max-Plank-Institute for Chemical ecology in Jena and Friedrich-schiller-University Jena Graduate Academy: International Max Planck Research School Jena (IMPRS): Exploration of ecological interactions with molecular and chemical techniques
11/2011-09/2012	Diploma thesis: The Identification of putative interacting protein partners of the calcium sensor protein, CML42, supervised by PD Dr. Axel Mithöfer (Max-Plank-Institute for Chemical ecology, Department of bioorganic chemistry) and Prof. Dr. Ralf Oelmüller (Friedrich-schiller-University Jena)
10/2008-09/2012	Diploma biochemistry/molecular biology, Friedrich-schiller-University Jena, Germany
03/2006-06/2006	Bachelor Thesis: Characterization of the factors changed in hypothalamic-pituitary-adrenal gland axis (HPA) in Abnormal Savda Syndrome carrier mice of Uighur traditional medicine (Institute for Biochemistry, Xinjiang Agricultural University, Urumchi, Xinjiang, China)
09/2001-06/2006	Biotechnology (Bachelor of Science (B.Sc.) including 1 year of Chinese language study), Institute for Biochemistry, Xinjiang Agricultural University, China

Attended courses

Aug-Sep 2016	Introduction to Basic Statistics and R, speaker: Grit Kunert
Jan 2016	Adobe Photoshop & Illustrator, speaker: Dr. Nico Überschaar
Mar 2015	NMR course, speaker: Dr. Bernd Schneider and co-workers
Jul 2014	Metabolomics principles and fundamental techniques, speaker: Prof. Georg Pohnert and co-workers
Apr 2014	Professional Job Application for PhD Students, speaker: Barbara Hoffbauer, KEPOS
Apr 2014	Introduction to Microscopy
Feb-Mar 2014	Plant Secondary Metabolism, speaker: Prof. Jonathan Gershenzon, Dr. A. Hammerbacher, Dr. L. Wright
Jan 2014	Scientific Writing, speaker: Dr. Brian Cusack, ScienceCraft
Jul 2013	Molecular Evolution, speaker: Prof. David Heckel
Jul 2013	Fundamentals of Mass Spectrometry, speaker: Dr. A. Atthygalle, Dr. A. Svatos
Jun 2013	Fortbildungsveranstaltung nach Gentechnik- Sicherheitsverordnung

Publications

2017

Ayufu Yilamujiang, Anting Zhu, Rodrigo Ligabue-Braun, Stefan Bartram, Claus-Peter Witte, Rainer Hedrich, Mitsuyasu Hasabe, Caroline R. Schöner, Michael G. Schöner, Gerald Kerth, Célia R. Carlini, Axel Mithöfer (2017). Coprophagous features in carnivorous *Nepenthes* plants: a task for ureases. Submitted, *Nature Ecology & Evolution*

2016

Yilamujiang, A., Reichelt, M., Mithöfer, A. (2016). Slow food: insect prey and chitin induce phytohormone accumulation and gene expression in carnivorous *Nepenthes* plants. *Annals of Botany*, 118, 369-375. doi:10.1093/aob/mcw110.

2015

Buch, F., Kaman, W. E., Bikker, F. J., Yilamujiang, A., Mithöfer, A. (2015). *Nepenthesin* protease activity indicates digestive fluid dynamics in carnivorous *Nepenthes* plants. *PLoS One*, 10(3): e0118853. doi:10.1371/journal.pone.0118853.

2012

Yilamujiang, A., Vadassery, J., Boland, W., Mithöfer, A. (2012). Calmodulin-like proteins, CMLs: New players in plant defense regulation? *Endocytobiosis and Cell Research*, 22, 66-69.

Oral Presentations

Yilamujiang A. (2015). Insect prey induces gene expression and phytohormone accumulation in traps of carnivorous *Nepenthes* plants. Talk presented at Seminário Geral, Universidade Federal do Rio Grande do Sul, Porto Alegre, Porto Alegre, BR (invited speaker)

Yilamujiang A. (2015). Regulation of carnivory-related signaling in *Nepenthes*. Talk presented at 14th IMPRS Symposium, MPI for Chemical Ecology, Dornburg, DE

Poster Presentations

Yilamujiang A., Bartram S., Zhu A., Ligabue-Braun R., Schöner C., Carlini C., Witte C.-P., Mithöfer A. (2016). Coprophagous features in carnivorous *Nepenthes* plants: a role for ureases. Poster presented at ICE Symposium, MPI for Chemical Ecology, Jena, DE

Yilamujiang A. (2016). Prey induced phytohormones and gene expression in carnivorous *Nepenthes*. Poster presented at 4th International Symposium on Plant Signaling & Behavior 2016, St. Petersburg, RU

Yilamujiang A. (2016). Prey induced phytohormones and gene expression in carnivorous *Nepenthes*. Poster presented at 15th IMPRS Symposium, MPI for Chemical Ecology, Dornburg, DE

Buch F., Yilamujiang A., Mithöfer A. (2014). Molecular regulation of carnivory in *Nepenthes* spec. Poster presented at ICE Symposium, MPI for Chemical Ecology, Jena, DE

Buch F., Yilamujiang A., Mithöfer A. (2014). Molecular regulation of carnivory in *Nepenthes* spec. Poster presented at SAB Meeting 2014, MPI for Chemical Ecology, Jena, DE

Yilamujiang A., Mithöfer A., Reichelt M., Buch F. (2014). Towards promoter analysis for pathogenesis-related (PR) protein genes in carnivorous plants. Poster presented at 13th IMPRS Symposium, MPI for Chemical Ecology, Dornburg, DE

Yilamujiang A., Buch F., Reichelt M., Mithöfer A. (2013). A role of phytohormones in carnivory in pitcher plants. Poster presented at Botanikertagung 2013, Deutsche Botanische Gesellschaft, Tübingen, DE

Yilamujiang A. (2013). A Role for Phytohormones in carnivorous Plants? Poster presented at 9th Plant Science Student Conference - PSSC 2013, Leibniz-Institut für Pflanzenbiochemie, Halle (Saale), DE

Yilamujiang A. (2013). A role for phytohormones in carnivorous plants? Poster presented at 12th IMPRS Symposium, MPI for Chemical Ecology, Jena, DE

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I know that there are lots of people, who were really important in my scientific and private life, which I have to mention, but not be mentioned by their names in this acknowledgement. However, I have to say that I will never forget the people who really helped me. I try all my best to give them back, more than that, what they have given me.

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Dada (Ayup Qurban), Anna (Asigul Nasirdin), ukullurum Imamjan, Ibrayimjan, Ilmigul baharliq uruq tuqqanlar, silarning uzun yildin biri mini qollap kiliwatqininglarga koptin kop rexmet. Silarning qollushunglar bilen ahiri kashqardin kilip germaniyening MAX-PLANK INSTITUTidin tabi-penler docturluq unwanini elish aldida turuptiman. Buning hammisida silarning zor tohpanglar bar älkwatta. Muttallap aka wa bashqa uruq tuqqanlar silergimu kop rehmet!!!!!!!!!!!!!!

Ayufu Yilamujiang(Ilham Ayup)

02.02.2017 Jena Germany

9. Eigenständigkeitserklärung

Ich erkläre hiermit, dass mir die geltende Promotionsordnung der Biologisch-Pharmazeutischen Fakultät der Friedrich-Schiller-Universität Jena bekannt ist.

Ich habe die vorliegende Dissertation selbständig verfasst und alle Hilfsmittel und Quellen in der Arbeit angegeben.

Alle Personen, die an den Manuskripten durch Experimente, Auswertung und Schreiben mitgewirkt haben, sind im Kapitel „*Manuscript Overview*“ mit ihrem jeweiligen Anteil aufgelistet.

Ich habe weder die Hilfe eines Promotionsberaters in Anspruch genommen, noch haben Dritte unmittelbar oder mittelbar geldwerte Leistungen von mir für Arbeiten erhalten, die im Zusammenhang mit dem Inhalt der vorliegenden Arbeit stehen.

Ich habe die Dissertation noch nicht als Prüfungsarbeit zu einer staatlichen oder anderen wissenschaftlichen Prüfung eingereicht. Ferner habe ich auch nicht versucht, die gleiche, eine in wesentlichen ähnliche oder eine andere Abhandlung bei einer anderen Hochschule als Dissertation einzureichen.

Ayufu Yilamujiang